



**Office of Planning  
Department of Business, Economic  
Development & Tourism**

RELEASE DATE: December 2, 2019

REQUEST FOR PROPOSALS  
No. RFP-20-01-OP

SEALED OFFERS  
FOR

DEVELOPMENT OF HIGH RESOLUTION PROBABILISTIC TSUNAMI  
DESIGN ZONE MAPS COMPATIBLE WITH  
ASCE 7-22 FOR HIGHER-RISK COASTAL AREAS OF PHASE I, THE ISLAND  
OF MAUI, STATE OF HAWAII

STATE OF HAWAII  
OFFICE OF PLANNING  
DEPARTMENT OF BUSINESS, ECONOMIC DEVELOPMENT & TOURISM

WILL BE RECEIVED UP TO 11:30 A.M. (HST) ON

January 6, 2020

IN THE OFFICE OF PLANNING, 235 S. BERETANIA ST. 6<sup>TH</sup> FLOOR, HONOLULU, HAWAII 96813. DIRECT  
QUESTIONS RELATING TO THIS SOLICITATION TO YUSRAA TADJ, FACSIMILE (808) 587-2831, OR E-MAIL AT  
YUSRAA.TADJ@HAWAII.GOV.

Mary Alice Evans  
Director, Office of Planning  
Procurement Officer

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**REGISTRATION:**

Facsimile No.: (808) 587-2831  
E-mail Address: yusraa.tadj@hawaii.gov

Provide the following information:

⊕ Name of Company	⊕ Mailing Address
⊕ Name of Contact Person	⊕ Telephone Number
⊕ Facsimile Number	⊕ Solicitation Number
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⊕ Facsimile Number	⊕ Solicitation Number
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**2. Download this solicitation from the following pages provided you register your company as stated above.**

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**NOTICE TO OFFERORS**  
**REQUEST FOR PROPOSALS**

STATE OF HAWAII  
OFFICE OF PLANNING  
DEPARTMENT OF BUSINESS, ECONOMIC DEVELOPMENT & TOURISM

Solicitation No. RFP-20-01-OP

DEVELOPMENT OF HIGH RESOLUTION PROBABILISTIC TSUNAMI DESIGN ZONE MAPS COMPATIBLE WITH  
ASCE 7-22 FOR THE HIGHER-RISK COASTAL AREAS OF THE ISLAND MAUI – Phase I, STATE OF HAWAII

The State of Hawaii Department of Business, Economic Development & Tourism (DBEDT), Office of Planning (OP) Coastal Zone Management Program's (HCZMP's) federally approved Section 309 Assessment and Strategy for FY2016-2020 identifies key problems and opportunities to improve HCZMP's ability to prevent or significantly reduce coastal hazard's risk in high-hazard areas and to manage the effects of potential sea level rise. To implement its Section 309 Assessment and Strategy, OP seeks proposals to develop high resolution probabilistic Tsunami Design Zone maps for higher risk coastal areas of the island of Maui, State of Hawaii for upcoming use with the International Building Code (IBC) 2021 and IBC 2024 / American Society of Civil Engineers (ASCE) 7-22, Chapter 6, Tsunami Loads and Effects standards.

This project is Phase I / Year 3 of a multi-year statewide project, as described in further detail in *Section Two, Background and Scope of Work*.

The project described in this RFP will consist of probabilistic tsunami hazard mapping for the Island of Maui and will occur in two phases. This RFP addresses Phase I only. Proposals, at a minimum must satisfy the required deliverables as set forth in Section 2.2, *Scope of Work*, and meet the objectives of the Request for Proposals document, including all attachments and exhibits (hereinafter referred to collectively as, "RFP"). Funding shall not exceed \$171,954.00 inclusive of State General Excise Tax (GET).

Please read the RFP carefully for the specific services required and deadlines for completion of services.

Requirements:

All interested Offerors must register with the STATE by 4:30 p.m. Hawaii Standard Time (HST) on December 9, 2019.

All written questions must be submitted to the STATE by 11:30 a.m. HST on December 10, 2019.

Proposals shall be received up to 11:30 a.m. HST on January 6, 2020 in the State of Hawaii, Department of Business, Economic Development & Tourism, Office of Planning, 235 S. Beretania St., 6<sup>th</sup> Floor, Honolulu, Hawaii 96813.

The RFP may be obtained from said office between the hours of 8:30 a.m. to 11:30 a.m. and 1:30 p.m. to 4:30 p.m., Monday through Friday, except for State holidays. All interested parties must register with said

office at the time RFP is requested. **PLEASE NOTE: REGISTRATION IS MANDATORY BY THE DEADLINE NOTED IN SECTION 1.4.**

All proposals must comply with the General Provisions for Goods and Services, dated July 2013, attached herein as **Exhibit A**; General Conditions issued by the Attorney General of the State of Hawai'i (Form AG-008), attached herein as **Exhibit B**; National Oceanic and Atmospheric Administration (NOAA) Special Conditions, attached herein as **Exhibit D**; and Special Provisions provided in Section 6 of this solicitation. Offerors are encouraged to carefully read the entire RFP. Proposals shall include Offer Forms OF-1 and OF-2 as stated in Section 3.9, *Proposal Forms* **with an original signature**. **If possible, blue ink is preferred.**

## SECTION ONE

### INTRODUCTION, TERMS AND ACRONYMS, KEY DATES

#### 2.1 INTRODUCTION

The State of Hawai'i Department of Business, Economic Development & Tourism (DBEDT), Office of Planning (OP) (hereinafter referred to as, "STATE") is requesting proposals to develop high resolution probabilistic Tsunami Design Zone maps for higher-risk coastal areas of the island of Maui, State of Hawai'i for upcoming use with the International Building Code (IBC) 2021 and IBC 2024 / American Society of Civil Engineers (ASCE) 7-22, Chapter 6, Tsunami Loads and Effects standards. Any award will result in a contract for the work and/or services for use by the STATE to benefit the Maui County Building Code.

#### 1.2 CANCELLATION

The Request for Proposals (RFP) may be cancelled and any or all proposals rejected in whole or in part, without liability to the STATE, when it is determined to be in the best interest of the STATE.

#### 1.3 TERMS AND ACRONYMS USED THROUGHOUT THE SOLICITATION

##### 1.3.1 TERMS

**ASCE Tsunami Design Geodatabase:** The ASCE database of geocoded reference points of Offshore 328-feet (100-meter) depth Tsunami Amplitude,  $H_T$ , and Predominant Period,  $T_{TSU}$ , of the Maximum Considered Tsunami, disaggregated hazard source contribution figures, Runup Elevation and Inundation Depth geocoded reference points, and Tsunami Design Zone maps.

**Hazard-Consistent Tsunami Scenario:** One or more surrogate tsunami scenarios generated from the principal disaggregated seismic source regions that replicate the Offshore Tsunami Amplitudes of the ASCE Tsunami Design Geodatabase or the offshore tsunami waveform characteristics of Fig. 6.7-1 and Table 6.7-1 of ASCE 7 for the site of interest, taking into account the net effect of the probabilistic treatment of uncertainty into the offshore wave amplitude of the scenario(s).

**Inundation Depth:** The depth of design tsunami water level, with respect to the grade at the site.

**Inundation Elevation:** The elevation of the design tsunami water surface, with respect to vertical datum of Mean High Water Level.

**Island of Maui, Kahului:** The area demarcated on Figure 1, page 12.

**Island of Maui, Makena to Kihei:** The area demarcated on Figure 2, page 13.

**Offshore Tsunami Amplitude:** Maximum Considered Tsunami amplitude relative to the Reference Sea Level, measured where the undisturbed water depth is 328-feet (100-meter).

**Runup Elevation:** Ground elevation at the maximum tsunami inundation limit, with respect to Mean High Water Level.

**Technical Manual(s):** Document containing instructions for installation, operation, use, maintenance, parts list, support, and training requirements for the effective deployment of an equipment, machine, process, or system.

**Tsunami Bore:** A steep and turbulent broken wavefront generated on the front edge of a long-period tsunami waveform when shoaling over mild seabed slopes or abrupt seabed discontinuities such as fringing reefs, or in a river estuary, per ASCE 7-16 Section 6.6.4.

**Tsunami Design Zone:** An area identified on the Tsunami Design Zone Map between the shoreline and the inundation limit, within which structures are analyzed and designed for inundation by the Maximum Considered Tsunami.

### 1.3.2 ACRONYMS

AIA	=	American Institute of Architects
APA	=	American Planning Association
ASCE	=	American Society of Civil Engineers
BAFO	=	Best and Final Offer
CCH	=	City & County of Honolulu
CONTRACTOR	=	The Offeror awarded a contract under this Request for Proposals
CPO	=	Chief Procurement Officer
DAGS	=	Department of Accounting and General Services, State of Hawai'i
DBEDT	=	Department of Business, Economic Development & Tourism, State of Hawai'i
DPP	=	Department of Planning and Permitting, City & County of Honolulu, State of Hawai'i
DMA 2000	=	Disaster Mitigation Act of 2000
FEMA	=	Federal Emergency Management Agency
GC	=	General Conditions, issued by the Department of the Attorney General, State of Hawai'i (AG-008), attached herein as Exhibit B
GET	=	General Excise Tax
GP	=	General Provisions for Goods and Services, attached herein as Exhibit A
HAR	=	Hawai'i Administrative Rules
HCZMP	=	Hawai'i Coastal Zone Management Program
HCE	=	Hawai'i Compliance Express
HETAC	=	Hawai'i Earthquake and Tsunami Advisory Committee
HI-EMA	=	Hawai'i Emergency Management Agency
HePS	=	Hawai'i eProcurement System
HOP	=	Hawai'i Ocean Partnership
HRS	=	Hawai'i Revised Statutes
HST	=	Hawai'i Standard Time
IBC 2018	=	International Building Code 2018
MACZAC	=	Marine and Coastal Zone Advocacy Council

NOAA	=	National Oceanic and Atmospheric Administration
ORMP	=	Ocean Resources Management Plan
OCM	=	Office for Coastal Management, NOAA
Offeror	=	Any individual, partnership, firm, corporation, joint venture, representative, or agent submitting an offer in response to this solicitation
OMB	=	Office of Management and Budget
OP	=	Office of Planning, DBEDT, State of Hawai'i
PANS	=	Procurement Awards, Notices and Solicitations
Procurement Officer	=	The contracting officer for the State of Hawai'i, State Procurement Office
RFP	=	Request for Proposals, including all attachments and exhibits
SLH	=	Session Laws of Hawai'i
SPO	=	State Procurement Office
STATE	=	Office of Planning, DBEDT, State of Hawai'i
SBCC	=	State Building Code Council
SHMHMP	=	State of Hawai'i Multi-Hazard Mitigation Plan
TLESC	=	Tsunami Loads and Effects Subcommittee
USACE	=	United States Army Corps of Engineers
US-JISAO	=	University of Washington Joint Institute for the Study of the Atmosphere and Ocean

#### 1.4 RFP SCHEDULE AND SIGNIFICANT DATES

The schedule represents the STATE's best estimate of the schedule that will be followed. All times indicated are Hawai'i Standard Time (HST). If a component of this schedule, such as "Proposal Due date/time" is delayed, the rest of the schedule will likely be shifted by the same number of days. Any change to the RFP Schedule and Significant Dates shall be reflected in and issued in an addendum. The approximate schedule is as follows:

Public Notice of Solicitation	December 2, 2019
Deadline to Register with OP	December 9, 2019 (4:30 p.m. HST)
Deadline to Submit Written Questions	December 10, 2019 (11:30 a.m. HST)
STATE Response to Written Questions Issued	December 20, 2019
Deadline to Submit Proposals	January 6, 2020 (11:30 a.m. HST)
STATE Evaluation of Proposals Completed	January 21, 2020
Discussion with Priority Listed Offerors (if necessary)	To Be Determined
Best and Final Offer (if necessary)	To Be Determined
Notice of Award	To Be Determined
Anticipated Contract Start Date	February 2020

#### 1.5 QUESTIONS AND ANSWERS PRIOR TO OPENING OF PROPOSALS

All questions shall be submitted by the due date specified in Section 1.4, *RFP Schedule and Significant Dates*, as amended.



The STATE will respond to questions through Addenda/Amendments by the date specified in Section 1.4, *RFP Schedule and Significant Dates*, as amended.

## SECTION TWO

### **BACKGROUND AND SCOPE OF WORK**

#### **2.1 PROJECT OVERVIEW AND HISTORY**

States are required by Section 22 of the federal Robert T. Stafford Act, as amended by Section 104 of the Disaster Mitigation Act of 2000 (DMA 2000), 44 CFR Part 201, Hazard Mitigation Planning, to develop mitigation plans to receive federal pre-disaster mitigation funding, non-emergency assistance, and disaster recovery funding. DMA 2000, through its amended regulations, requires that state mitigation plans be updated every five (5) years to maintain eligibility for disaster assistance.

In accordance with DMA 2000, as administered by the Federal Emergency Management Agency (FEMA), Hawaii's first federally approved Multi-Hazard Mitigation Plan went into effect on October 27, 2004. The 2010 revision became effective on October 4, 2010. The 2013 update became effective on October 21, 2013.

The 2013 State of Hawai'i Multi-Hazard Mitigation Plan (SHMHMP) identified tsunamis as a hazard that is likely to occur across the major Hawaiian Islands and thus pose a high coastal hazard risk to the State. The 2018 SHMHMP update became effective October 2018, and similarly identify tsunamis as a high coastal hazard risk.

There, however, were no national standards for designing against the effects of a tsunami. As a result, tsunami risk to coastal zone construction was not explicitly addressed in engineering design. To fill this gap, the American Society of Civil Engineers (ASCE) 7 Tsunami Loads and Effects Subcommittee (TLESC) developed a new and comprehensive chapter for inclusion in the 2016 edition of the ASCE 7: Minimum Design Loads and Associated Criteria for Buildings and Other Structures. ASCE 7-16, Chapter 6 – Tsunami Loads and Effects – a final version published in the summer of 2017 in both hardcopy and electronic versions – is the first national, consensus-based standard for tsunami resilience in Alaska, Washington, Oregon, California, and Hawai'i. A Supplement to ASCE 7-16 was issued effective December 12, 2018 that included changes relating to the use of inundation depth points. The new ASCE 7-16 tsunami design provisions are referenced in the 2018 International Building Code (IBC 2018) and will apply to a limited class of Risk Category III and IV buildings and structures, as well as certain occupancies of taller Risk Category II buildings; the provisions will not apply to low-rise Risk Category II and Risk Category I buildings, unless added by the County.

It is expected that ASCE 7-16 tsunami design standards will be adopted by states and local county jurisdictions during the 2019-2020 timeframe when the IBC 2018 is also similarly adopted. In anticipation of the adoption of ASCE 7-16 and IBC 2018, the Hawai'i State Building Code Council (SBCC) has already proactively approved incorporating the new ASCE 7-16, Chapter 6 – Tsunami Loads and Effects – into the next iteration of the State Building Code based on the 2012 IBC. To assist for upcoming use with the ASCE 7 tsunami design standards, higher-resolution maps of 2,500-year probabilistic tsunami inundation for Hawai'i will need to be developed. Tasks for the development of 2,500-year probabilistic Tsunami Design Zone maps may be itemized into the following sequential steps, which have already been completed or are in-progress:

- Probabilistic Tsunami Hazard Analysis of Offshore Tsunami Amplitude and associated disaggregated governing seismic source definition for input to the national inundation model (Completed);
- Development of general probabilistic design maps for the developable regions of the five western states based on 60-meter to 90-meter grid of topography (Completed);
- Development of higher resolution 10-meter probabilistic Tsunami Design Zone map data for reference sites constituting key communities of highest importance (Probabilistic design maps of multiple reference sites in Hawai'i produced by the University of Washington Joint Institute for the Study of the Atmosphere and Ocean (UW-JISAO) under separate funding – Completed). Hawai'i is one of the five (5) western states that needs to develop additional high resolution (10-meter) probabilistic Tsunami Design Zone maps for its remaining coastal areas not covered by the multiple reference sites, in accordance with the ASCE 7 tsunami design standards.
- Development of high resolution (10-meter resolution) probabilistic Tsunami Design Zone maps / model data for the City & County of Honolulu on the Island O'ahu, including the Urban core south coast and Hale'iwa as part of Phase I / Year 1 and 2 (Completed).
- Development of high-resolution (10-meter resolution) probabilistic Tsunami Design Zone maps / model data for the remaining coastlines of the City & County of Honolulu on the Island of O'ahu, as part of Phase II / Year 1 and 2 (Completed)

The Hawai'i Coastal Zone Management Program (HCZMP) recognized the need to address the significant tsunami risk posed to life and property in the Hawaiian Islands and incorporated the probabilistic Tsunami Design Zone maps project (project) into the HCZMP Section 309 Assessment and Strategy FY 2016-2020, which was approved by the NOAA Office for Coastal Management (OCM). The project will be conducted in close partnership and consultation with the Hawai'i Emergency Management Agency (HI-EMA).

This project also fulfills the second highest ranked strategic priority for mitigation action recognized in the SHMHMP, which is to "Develop maps of probabilistic tsunami inundation and runup for use in designing or retrofitting critical infrastructure facilities, including bridges, major multi-story buildings and vertical evacuation refuge buildings (required ASCE-7 implementation). Adopt tsunami-resistant design provisions. Enable "tsunami-ready" designation for risk category III and IV structures" See SHMHMP 2018 at Mitigation Strategy pg. 6-17. Completion of the probabilistic tsunami inundation mapping effort and subsequent adoption of tsunami design provisions will implement strategy elements nos. 2, 3, and 4 in Table 20.2 of the SHMHMP, Hawaii's prioritized recommended actions and policies of the disaster resilient strategy. See SHMHMP at Mitigation Strategy, Table 20.2, at pg. 20-20.

Thus, in addition to producing probabilistic Tsunami Design Zone maps, the project will ensure the applicability of the maps / modeling to the newly developed ASCE 7, Chapter 6 – Tsunami Loads and Effects – design standards to be adopted by the State and various Hawai'i counties. The project, in concert with the probabilistic Tsunami Design Zone maps, will provide proposed amendments to the State Building Code and local county councils' building codes based on the maps / modeling to correspond to ASCE 7-22, Chapter 6.

An overall description of the timeframe of the statewide project is as follows. Pertinent to this project, the specific objectives set forth in Year 3, Phase I is part of a larger multi-year and multi-phase effort. The portion in yellow includes the services requested for this RFP.

Timeframe	Description of Activities
Phase I / Year 1 (completed)	<ul style="list-style-type: none"> <li>Develop Phase I project work plan</li> <li>Conduct modeling / mapping of City &amp; County of Honolulu (Urban core south coast and Hale'iwa)</li> <li>Conduct independent technical review to ensure compliance with ASCE 7 criteria</li> </ul>
Phase II / Year 2 (completed)	<ul style="list-style-type: none"> <li>Complete modeling / mapping for entire City &amp; County of Honolulu Island of O'ahu</li> <li>Conduct independent technical review to ensure compliance with ASCE 7 criteria</li> <li>Draft proposed language for the Honolulu City Council to consider amending the City &amp; County of Honolulu Building Code to adopt the probabilistic Tsunami Design Zone maps / model data developed pursuant to this project along with styles of maps appropriate for use in the City &amp; County of Honolulu Building Code and the ASCE Tsunami Design Geodatabase</li> </ul>
Phase I / Year 3	<ul style="list-style-type: none"> <li>Develop Phase I project work plan</li> <li>Conduct modeling / mapping of designated areas on Island of Maui, including the Kahului area designated on Figure 1, page 12 and Makena to Kihei designated on Figure 2, page 13</li> <li>Conduct independent technical review to ensure compliance with the ASCE 7 Chapter 6 Probabilistic Tsunami Hazard Analysis mapping criteria</li> <li>Draft proposed language for the Maui County Council to consider amending the Maui County Building Code to adopt the probabilistic Tsunami Design Zone maps / model data developed pursuant to this project, along with styles of maps appropriate for use in the Maui County Building Code and the ASCE Tsunami Design Geodatabase</li> </ul>
Phase II/ Year 3	<ul style="list-style-type: none"> <li>Develop Phase II and III project work plan</li> <li>Conduct modeling / mapping of the coastal area from Maalaea to Kapalua designated</li> <li>Provide alternative mapping products using the UW-JISAO higher resolution 10-meter probabilistic Tsunami Design Zone map data for the Kahului and greater Kihei areas together with the areas from Maalaea to Kapalua designated</li> <li>Conduct independent technical review to ensure compliance with the ASCE 7 Chapter 6 Probabilistic Tsunami Hazard Analysis mapping criteria</li> <li>Draft proposed language for the Maui County Council to consider amending the Maui County Building Code to adopt the probabilistic Tsunami Design Zone maps / model data developed pursuant to this project along with styles of maps appropriate for use in the Maui County Building Code and the ASCE Tsunami Design Geodatabase</li> </ul>
Phase III / Year 4	<ul style="list-style-type: none"> <li>Conduct modeling / mapping for designated higher-risk areas on the island of Kaua'i for the areas of Hanalei, Anahole to North of Lihue, and Poipu to Polihale</li> <li>Conduct independent technical review to ensure compliance with ASCE 7 criteria</li> <li>Develop transitions of topography and mapped Runups from the designated areas of Phases I and II to the surrounding areas covered by the ASCE Tsunami Design Geodatabase version 2016-1.0</li> <li>Draft proposed language for county councils of Kaua'i to consider amending their building codes to adopt the probabilistic Tsunami Design Zone maps / model data developed pursuant to this project along with styles of maps appropriate for use in their respective county building codes and the ASCE Tsunami Design Geodatabase</li> </ul>

This project meets the goals set forth in the current SHMHMP and conforms to the HCZMP, NOAA-approved Section 309 Coastal Hazards Enhancement Strategy FY 2016-2020.



Figure 1. Probabilistic Tsunami Design Zone Modeling / Mapping Extent for Phase I, represented in orange.





Figure 2. Probabilistic Tsunami Design Zone Modeling / Mapping Extent for Phase I, represented in orange.

## 2.2 SCOPE OF WORK

All services and for whom services are to be provided for shall be in accordance with this RFP.

The STATE seeks consultant services to develop high resolution probabilistic Tsunami Design Zone maps for the higher-risk coastal areas of the Island of Maui, State of Hawai'i for upcoming use with the IBC 2021-2024 / ASCE 7-22, Chapter 6 – Tsunami Loads and Effects – design standards.

Proposals should include the required deliverables described in this Section 2.2 and any other deliverables the CONTRACTOR will provide to meet the objectives of the RFP. The total price for Phase I should not exceed \$171,054.00 inclusive of State General Excise Tax (GET). The CONTRACTOR may propose additional deliverables so long as the total price does not exceed \$171,054.00 inclusive of State GET. To the extent possible, meetings will be combined to serve the purposes of each required meeting while minimizing the number of meetings.

The services and deliverables outlined in this *Scope of Work* are to be performed for Phase I of this Project, which consists of two geographic areas as defined in Section 1.3.1 Terms of this RFP and must be completed within 11 months of the Anticipated Contract Start Date, with possible extension through December 30, 2021, contingent upon federal approval.

The services to be provided by the CONTRACTOR being sought shall include but are not limited to the following tasks for Phase I:

- A. The CONTRACTOR shall electronically submit a detailed Work Plan for review and approval by the STATE prior to initiating project tasks. The detailed Work Plan shall specify the following:
  1. Designation of the project manager and the project manager's responsibilities;
  2. Designation of the modeling / mapping lead and the modeling / mapping leader's responsibilities, if the modeling / mapping lead will not be the same as the project manager;
  3. Description of the planned coordination between project manager and the modeling / mapping lead, ensuring maximum communication to achieve project outcomes;
  4. Methodology to determine and identify the relevant localized geographic features that shall inform the development of the data / model / mapping products;
  5. Project goals, objectives, and tasks;
  6. Methods on how project goals, objectives, and tasks will be accomplished and what process controls will be implemented to achieve an optimized level of compatibility with respect to the requirements of ASCE 7 without introducing excessive additional conservatism in engineering design that is economically undesirable;
  7. Personnel assignments;
  8. Product deliverables, including but not limited to, a description of how the project deliverables, shall be compatible, strictly comply, and be furnished to be applicable for use with ASCE 7-22 Chapter 6 Probabilistic Tsunami Hazard Analysis mapping criteria of Section 6.7 and prepared to be integrated into its ASCE Tsunami Design Geodatabase.
  9. Identify any intermediate or final work products considered proprietary to the investigators and provide justification with supporting evidence that no federal funds were utilized in the development of such products. Provide alternative public domain

work products compatible with the application of the higher-resolution tsunami design zone mapping data.

10. Processes, programs, and methods by which effective technical and informational meetings will be implemented and conducted;
  11. Due dates of deliverables and progress reports; and
  12. Breakdown of costs within the prescribed timeframes for completing the tasks of Phase I the project.
- B. The CONTRACTOR shall prepare written progress reports every two (2) months detailing the status to date of the project. All written progress reports shall include, but not be limited to, the following information: discussion of main achievements since last reporting; description of progress and anticipated achievements; discussion of challenges that have arisen; and discussion of next steps. The CONTRACTOR shall electronically submit the written progress reports for STATE review and approval within ten (10) business days of the end of each two-month period.
- C. The CONTRACTOR shall develop high resolution (10-meter resolution) probabilistic Tsunami Design Zone maps / model data in accordance with American Society of Civil Engineering (ASCE) 7-22, Chapter 6 Probabilistic Tsunami Hazard Analysis mapping criteria of Section 6.7, based on the Offshore Tsunami Amplitudes specified in the ASCE Tsunami Design Geodatabase, as provided by the ASCE 7 Tsunami Loads and Effects Subcommittee. The disaggregated seismic sources that predominate for each region shall be used for modeling input. The CONTRACTOR shall:
1. Develop probabilistic Tsunami Design Zone maps, in digital and GIS formats, for a 2,500-year Maximum Considered Tsunami with an associated Offshore Tsunami Amplitude at the 100-meter depth, for use with ASCE 7-22, Chapter 6 Tsunami Loads & Effects, design standards, which shall:
    - i. Depict, at a minimum, localized information based upon Hawaii's unique coastal geology (a) Runup Elevations, (b) Inundation Limits, (c) Inundation Depths, and (d) location of Tsunami Bores within the Tsunami Design Zone;
    - ii. Provide basic model and higher-order model feature comparisons of computed offshore tsunami amplitudes and runups. Then provide at least one additional set of higher resolution results from a MOST model utilizing the same bathy-topo DEM;
    - iii. Depict for review and research purposes only Maximum Flow Velocities, which are not strictly required by ASCE 7 Chapter 6 Probabilistic Tsunami Hazard Analysis mapping criteria of Section 6.7, within the Tsunami Design Zone;
    - iv. Compile the updated and integrated Runups into seamless datasets that would comprise the Runup data for the entire island of Maui for the ASCE Tsunami Design Geodatabase version 2022-1.0;
    - v. Identify an updated publicly available compatible digital elevation model data layer for the Geodatabase;
    - vi. Depict areas where the high-resolution Tsunami Design Zone maps show significant change in the Tsunami Design Zones from the existing 60 meter ASCE Tsunami Design Zones;



- vii. Be produced in common file formats (e.g., Keyhole Markup Language Zipped (KMZ) file and/or ESRI shapefile format) for convenient reviewing and editing;
  - 2. Create maps, data files, map books, map products, metadata (FGDC-CSDGM compliant metadata required), project Geodatabase (e.g., ESRI file geodatabase or ESRI shapefiles), etc. for all mapping products, which are capable of being hosted on ASCE and State GIS websites;
  - 3. Contract with a third-party to conduct independent technical review of data / mapping / modeling products resulting from the probabilistic Tsunami Design Zone maps / model data to:
    - i. Ensure compliance with ASCE 7-22, Section 6.7 criteria;
    - ii. Incorporate Runup point rectification with the Digital Elevation Model, remove any “modeling artifacts” of isolated unflooded areas within the Tsunami Design Zone not justified by topographic features, produce Inundation Depth points where necessary at overwashed peninsula-like features, add the 3-feet of Inundation Depth contour line, and indicate shorelines subject to Tsunami Bores;
    - iii. Ensure compatibility of mapping products output for use with the State Building Code Council (SBCC) and the Maui County Building Code amendments; and
  - 4. Draft proposed language for the Maui County Council to consider amending the Maui County Building Code to adopt the probabilistic Tsunami Design Zone maps / model data developed pursuant to this project along with styles of maps appropriate for use in the Maui County Building Code and the ASCE Tsunami Design Geodatabase, which is more specifically described in paragraph I below.
- D. During the entire term of Phase I, the CONTRACTOR shall convene **at most two (2) technical meetings**. The purpose of the technical meetings is to solicit feedback, comment and/or input from potential end-users of the probabilistic Tsunami Design Zone maps developed by the CONTRACTOR in paragraph C above. Each **technical meeting** shall be a collective meeting with representatives and/or members from the groups identified in this paragraph D and the CONTRACTOR shall present and obtain input on the probabilistic Tsunami Design Zone maps developed in paragraphs C above. To the extent possible, groups identified in this paragraph D will be combined for efficacy of the meetings. The **technical meetings** shall take place on Oahu and the CONTRACTOR shall budget for the travel needs of up to four Maui travelers to attend each **technical meeting**. All travel must conform with paragraph 16 of the Exhibit B Contract Form and AG General Conditions (AG-008 103D General Conditions). The date, time, place, and minimum requirements and processes of each **technical meeting** shall be determined in consultation with the STATE with the objective of attracting attendees who will be potential end-users of the probabilistic Tsunami Design Zone maps, technical experts for the development of the maps, and to solicit the attendees’ feedback, comments and/or inputs on said maps. Each **technical meeting** shall be followed by a question-and-answer period with adequate time allotted for the CONTRACTOR to respond to all questions and for the **technical meeting** attendees to provide feedback on the probabilistic Tsunami Design Zone maps. At **technical meetings** convened under this paragraph D, the Contractor shall at all times ensure that, regardless of Stakeholders’ comments, product deliverables shall be compatible and strictly comply with ASCE 7-22 Chapter 6 Probabilistic Tsunami Hazard Analysis mapping criteria of Section 6.7. Each **technical meeting** shall be face-to-face and in-

person. The representatives and/or members from each of the groups shall, at minimum, consist of the following:

1. City, State and/or Federal government agencies, such as the State Building Code Council, Planning Department of Maui County, Department of Public Works of Maui County, and other State and county agencies as directed by the STATE, including but not limited to HI-EMA, and State of Hawai'i Department of Accounting and General Services (DAGS).
2. Non-governmental professional organizations, such as ASCE Hawai'i Chapter and Structural Engineers Association of Hawai'i.
3. Governmental, non-governmental professional organizations, private sector groups, etc., as the CONTRACTOR deems relevant.

E. During the entire term of Phase I, the CONTRACTOR shall convene **at most two (2) informational meetings**. The purpose of the **informational meetings** is to educate and inform interested governmental, non-governmental professional organizations, private sector groups, etc. about the probabilistic Tsunami Design Zone maps developed by the CONTRACTOR in paragraph C above. Each **informational meeting** shall be a collective meeting with representatives and/or members from the groups identified in this paragraph E for the CONTRACTOR to present information and/or a briefing on the probabilistic Tsunami Design Zone maps developed in paragraph C above. To the extent possible, groups identified in this paragraph E will be combined for efficacy of the **informational meetings**. The **informational meetings** shall take place on Maui. The CONTRACTOR shall include in the budget for any travel needs for the purpose of the **informational meetings**, to include air and ground transportation, lodging, and other expenses to conform with paragraph 16 of the Exhibit B Contract Form and AG General Conditions (AG-008 103D General Conditions). The date, time, place, and minimum requirements and processes of each **informational meeting** shall be determined in consultation with the STATE with the objective of informing and educating interested governmental, non-governmental professional organizations, private sector groups, etc. about the probabilistic Tsunami Design Zone maps. Each **informational meeting** may be face-to-face and in-person or via live and/or recorded webinar. At **each informational meeting** convened under this paragraph F, the CONTRACTOR shall at all times ensure that, regardless of attendees' comments, product deliverables shall be compatible and strictly comply with ASCE 7-22 Chapter 6 Probabilistic Tsunami Hazard Analysis mapping criteria of Section 6.7. The representatives and/or members from each of the groups shall, at minimum, consist of the following:

1. County, State and/or Federal government agencies, such as FEMA, the US Army Corp of Engineers (USACE), local chapter if possible, Marine and Coastal Zone Advocacy Council (MACZAC), the STATE's public advisory body established by Hawai'i Revised Statutes (HRS) § 205A-3.5, Hawai'i Ocean Partnership (HOP) Coordinated Working Group, Hawai'i State Earthquake and Tsunami Advisory Committee (HETAC), and other State and county agencies as directed by the STATE.
2. Non-governmental professional organizations, such as the American Institute of Architects (AIA), American Planning Association (APA), Building Industry Association, Structural Engineers Association of Hawai'i, General Contractors Association of Hawaii, Subcontractors' Association of Hawai'i and the Hawai'i Geographic

Information Coordinating Council, Governmental, non-governmental professional organizations, private sector groups, etc., as the CONTRACTOR deems relevant.

- F. During the entire term of the project, the CONTRACTOR shall provide the STATE with the following services: any and all tasks necessary or reasonable for execution of successful meetings in paragraphs D and E above, including but not limited to scheduling, logistics support, drafting meeting notices and sending out and distribute STATE approved meeting invitations, allocating an appropriate amount from the project budget for travel to/from meetings when required for both informational meetings and technical meetings, securing a venue in a location approved by the STATE, setting up the meeting space with appropriate seating, signage, laptop and projector or other presentation equipment; webinar abilities (e.g., the ability to share the screen; set up a phone conference line; automated emails – registration confirmation, reminders and follow-ups; a branded registration page and lobby (the spot where folks who dial in a little early wait for the webinar to begin); audience interaction – via raised hands, and live polls (if applicable); text chat and “ask a question” boxes; in-webinar reporting – how many people are attending and of that number, after-webinar reporting – how many people attended, who they were, questions they asked, etc.; and recording –; drafting of materials, presentations, handouts, one-page project summary informational circular / flyer, and advertisements / notices including illustrated graphics as appropriate, staffing a sign-in table and providing sign-in sheets, and adding these meeting attendees’ contact information to a mailing list, coordination and leading meetings, recording notes during meetings and drafting and editing meeting minutes / summaries, recording notes during meetings and drafting and editing meeting minutes / summaries for STATE review and approval. The CONTRACTOR shall electronically submit draft meeting minutes / summaries of each meeting for STATE review and approval within ten (10) business days of each meeting in paragraphs D and E above. These minutes / summaries shall include, but not be limited to, a description of meeting attendees, questions asked and answered, feedback received on the probabilistic Tsunami Design Zone maps, and next steps or follow-ups, as appropriate. All of the services provided by the CONTRACTOR related to this paragraph F shall take place in coordination with the STATE. The CONTRACTOR shall submit final minutes / summaries within ten (10) business days of receiving comments on the draft minutes / summaries from the STATE.
- G. The CONTRACTOR shall prepare revisions to the draft probabilistic Tsunami Design Zone maps, map products, metadata (FGDC-CSDGM compliant metadata required), project Geodatabase (e.g., ESRI file geodatabase or ESRI shapefiles), etc., developed by the CONTRACTOR in paragraphs C above based on the feedback received, as appropriate, during the meetings convened by the CONTRACTOR in paragraphs D and E above. The revised probabilistic Tsunami Design Zone maps for Phase I, shall be electronically submitted for STATE review and approval, transmitting three (3) flash drives, or other suitable digital storage medium mutually agreed upon by STATE and CONTRACTOR.
- H. The CONTRACTOR shall prepare:
1. recommendations for the additional uses of the probabilistic Tsunami Design Zone data / maps / models products for tsunami hazard and risk analysis, community preparedness, mitigation, and long-term resilience, informed by meetings with groups in paragraph D and/or E above; and

2. draft proposed language for the Maui County Council to consider amending the Maui County Building Code to adopt the probabilistic Tsunami Design Zone maps / model data developed pursuant to this project along with styles of maps appropriate for use in the Maui County Building Code and the ASCE Tsunami Design Geodatabase.

The recommendations for additional use of the probabilistic Tsunami Design Zone maps and draft proposed language for the Maui County Council to consider amending the Maui County Building Code to adopt the probabilistic Tsunami Design Zone maps / model / data developed pursuant to this project along with styles of maps appropriate for use in the Maui County Building Code, and the ASCE Tsunami Design Geodatabase shall be submitted electronically for STATE review and approval.

- I. The CONTRACTOR shall produce maps identifying and depicting areas with significant differences between the high-resolution Tsunami Design Zone maps with the current ASCE 60m Tsunami Design Zone, provided in both hard copy ledger size (11 x 17 inches) and digital PDF formats.
- J. The CONTRACTOR shall prepare and submit for STATE review and approval, along with maps, map books, map products, metadata (FGDC-CSDGM compliant metadata required), project Geodatabase (e.g., ESRI file geodatabase or ESRI shapefiles), etc., developed by the CONTRACTOR in paragraphs C, D above, draft reports / documentations / technical manuals, as appropriate: (1) mapping and modeling processes, including but not limited to inputs, methodologies, analyses, etc., for the probabilistic Tsunami Design Zone maps for use with ASCE 7, Chapter 6 Tsunami Loads & Effects, design provisions; (2) a description of the study process(es) and/or analyses; (3) map / modeling legends; (4) the recommendations for additional uses of the probabilistic Tsunami Design Zone data / maps / models products in paragraph I above; (5) draft proposed language for the Maui County Council to consider amending the Maui County Building Code to adopt the probabilistic Tsunami Design Zone maps / model / data developed pursuant to this project along with styles of maps appropriate for use in the Maui County Building Code and the ASCE Tsunami Design Geodatabase in paragraph H above; and (6) other relevant information. The draft report / documentation / technical manual in editable Microsoft Word format or an open source, commonly available word processing format shall be submitted electronically for STATE review and approval.
- K. The CONTRACTOR shall prepare, upon receipt of the approved draft reports / documentations / technical manuals identified in paragraph I above, a final report / documentation / technical manual, which incorporates the STATE's comments, revisions, additions, and deletions to the draft report / documentation / technical manual. The CONTRACTOR shall submit the final report / documentation / technical manual in editable Microsoft Word format or an open source, commonly available word processing format for STATE review and approval.
- L. Upon the STATE's approval of the final report / documentation / technical manual identified in paragraph J above, the CONTRACTOR shall prepare and submit to the STATE three (3) bound color copies of, three (3) CD/DVD copies of or three (3) flash drives or equivalent media in high resolution PDF format containing the final report / documentation.
- M. The CONTRACTOR shall provide data to the STATE and its designees as requested, to assist the STATE in meeting its reporting requirements under the Coastal Zone Management Act

Performance Measurement System. The information will generally involve categorizing the use of funds spent under this Contract and identifying any funds leveraged as a result of or related to the work performed under this Contract. Data shall be submitted in the format provided by the STATE and as categorized by the STATE. Failure to submit the required data in a timely manner may result in the withholding of funds.

- N. The CONTRACTOR shall provide the STATE with a letter (1) stating whether or not the CONTRACTOR expended more than \$750,000.00 in aggregate of federal funding in any fiscal year covered by this Contract and (2) if such funding was expended, confirming that the CONTRACTOR shall provide the STATE a copy of its single audit report, or program-specific report, as required by OMB Circular A-133, within 30 days after receipt of the auditor's report.
- O. The CONTRACTOR shall submit to the STATE for approval a final expenditure report for this Contract. The final expenditure report shall include all expenditures related to the activities and products of this Contract. The final expenditure report shall also contain a certification by the CONTRACTOR that all statements represented in the report are accurate and in accordance with standard accounting procedures and practices, and that the federal funds allocated under this Contract have been expended in accordance with the provisions set forth in this Contract or shall be returned to the STATE.
- P. The CONTRACTOR shall annotate all reports, documentation, maps, electronic files, internet sites, and other documents completed as part of this Contract, other than the documents exclusively for internal use by the CONTRACTOR, with the Hawai'i Coastal Zone Management Program logo, the NOAA logo, and the following notation on the front cover or title page (or, in the case of maps, in the title block):

"This [map/model/report/etc.] was prepared by [CONTRACTOR] for the State of Hawai'i Office of Planning, Coastal Zone Management Program using Federal funds under Award No. NA18NOS4190082 from the National Oceanic and Atmospheric Administration (NOAA), U.S. Department of Commerce. The statements, findings, conclusions, and recommendations are those of the author(s) and do not necessarily reflect the views of NOAA or the U.S. Department of Commerce."

- Q. Federal funds in the amount of \$171,054.00 awarded by the National Oceanic and Atmospheric Administration of the U.S. Department of Commerce shall be used to pay for the work to be performed by the CONTRACTOR under **Phase I for the Island of Maui, for the areas of Kahului**, and from **Makena to Kihei**, as referenced in Section 2.2, *Scope of Work*. CONTRACTOR's services specific to **Phase I** as referenced in but not limited to paragraphs A-O of Section 2.2, *Scope of Work*, shall (1) not exceed \$171,054.00 in amount (inclusive of State GET), and (2) be completed within 11 months of the Anticipated Contract Start Date in Section 1.4 no later than December 30, 2020, *RFP Schedule and Significant Dates*, with possible extension, at no additional cost to the STATE, through December 30, 2021, contingent upon federal approval, whereby the contract may be extended by mutual written agreement pursuant to the terms and conditions set forth in this RFP, including any and all attachments and exhibits.

## 2.3 TERM OF CONTRACT

Phase I of the project must be completed by December 30, 2020 as referenced in Section 1.4, *RFP Schedule and Significant Dates*, unless extended by mutual written agreement pursuant to the terms and conditions set forth in this RFP, including any and all attachments and exhibits, and is contingent upon approved extension and funding from the federal funding agency.

When interests of the STATE so require, the STATE may terminate the Contract, in whole or in part, for the convenience of the STATE. The STATE shall give four (4) weeks prior written notice of the termination to the CONTRACTOR specifying the part, or the whole, of the Contract terminated and when termination becomes effective. The CONTRACTOR agrees upon notice of termination of the Contract for any reason, it shall provide best efforts and cooperation to ensure an orderly and efficient transition of services to the STATE or another party. The CONTRACTOR shall provide full disclosure to the STATE of the equipment, software and third-party supplier about the tools and data required to perform services for the STATE. The CONTRACTOR shall transfer licenses or assign agreements for any software or third-party services procured and owned by the STATE and used to provide the services to the STATE, back to the STATE or to another party as directed by the STATE. The CONTRACTOR shall also turn over to the STATE or to another party as directed by the STATE, all materials, data, inputs, parameters, and other information needed to run models and produce deliverables under this Contract.

## **SECTION THREE**

### **PROPOSAL FORMAT AND CONTENT**

#### **3.1 OFFEROR'S AUTHORITY TO SUBMIT AN OFFER**

The STATE will not participate in determinations regarding an Offeror's authority to sell a product or service. If there is a question or doubt regarding an Offeror's right or ability to obtain and sell a product or service, the Offeror shall resolve that question prior to submitting an offer.

#### **3.2 REQUIRED REVIEW**

3.2.1 Before submitting a proposal, each Offeror must thoroughly and carefully examine this RFP, any attachment, addendum, and other relevant document, to ensure Offeror understands the requirements of the RFP. Offeror must also become familiar with State, local, and Federal laws, statutes, ordinances, rules, and regulations that may in any manner affect cost, progress, or performance of the work required.

3.2.2 Should the Offeror find defects and questionable or objectionable items in the RFP, Offeror shall notify the STATE in writing prior to the deadline for written questions as specified in Section 1.4, *RFP Schedule and Significant Dates*, as amended. This will allow the issuance of any necessary corrections and/or amendments to the RFP by addendum, and mitigate reliance of a defective solicitation and exposure of proposal(s) upon which award could not be made.

#### **3.3 PROPOSAL PREPARATION COSTS**

Any and all costs incurred by the Offeror in preparing or submitting a proposal shall be the Offeror's sole responsibility whether or not any award results from this RFP. The STATE shall not reimburse such costs.

#### **3.4 TAX LIABILITY**

3.4.1 Work to be performed under this solicitation is a business activity taxable under Hawai'i Revised Statutes (HRS) Chapter 237, and if applicable, taxable under HRS Chapter 238. CONTRACTOR is advised that they are liable for the Hawai'i GET. If, however, an Offeror is a person exempt by the HRS from paying the GET and therefore not liable for the taxes on this solicitation, Offeror shall state its tax exempt status and cite the HRS chapter or section allowing the exemption.

3.4.2 The Offeror shall submit its current Federal I.D. No. and Hawai'i General Excise Tax License I.D. number in the space provided on Offer Form, page OF-1, thereby attesting that the Offeror is doing business in the State and that Offeror will pay such taxes on all sales made to the State.

### **3.5 PROPERTY OF STATE**

All proposals become the property of the State of Hawai'i.

### **3.6 RFP AMENDMENTS**

OP reserves the right to amend this RFP at any time prior to the Deadline to Submit Proposals, as referenced in Section 1.4, *RFP Schedule and Significant Dates*. Interested offerors will be notified of the availability of amendments through verbal or written communication.

### **3.7 CONFIDENTIAL INFORMATION**

- 3.7.1 If an Offeror believes that any portion of a proposal, offer, specification, protest, or correspondence contains information that should be withheld from disclosure as confidential, then the Offeror shall inform the Procurement Officer named on the cover of this RFP in writing and provide justification to support the Offeror's confidentiality claim. Price is not considered confidential and will not be withheld.
- 3.7.2 An Offeror shall request in writing nondisclosure of information such as designated trade secrets or other proprietary data Offeror considers to be confidential. Such requests for nondisclosure shall accompany the proposal, be clearly marked, and shall be readily separable from the proposal in order to facilitate eventual public inspection of the non-confidential portion of the proposal.
- 3.7.3 Pursuant to Hawai'i Administrative Rules (HAR) § 3-122-58, if a person requests to inspect the portions of an Offeror's proposal designated as confidential pursuant to HAR § 3-122-46 (9), the inspection shall be subject to written determination by the respective attorney general or corporation counsel for confidentiality in accordance with HRS Chapter 92F. If the attorney general or corporation counsel determines in writing that the material designated as confidential is subject to disclosure, the material shall be open to public inspection unless the offeror appeals pursuant to HRS § 92F-42 (1). When a purchasing agency denies a person access to a state procurement record, the person may appeal the denial to the Office of Information Practices in accordance with HRS § 92F-15.5.

### **3.8 EXCEPTIONS**

Should Offeror take any exception to the terms, conditions, specifications, or other requirements listed in the RFP, Offeror shall list such exceptions in this section of the Offeror's proposal. Offeror shall reference the RFP section where exception is taken, a description of the exception taken, and the proposed alternative, if any. The STATE reserves the right to accept or not accept any exceptions.

No exceptions to statutory requirements of the General Conditions (GC) issued by the Attorney General of the State of Hawai'i (Form AG-008), attached herein as Exhibit B shall be considered.

### **3.9 PROPOSAL OBJECTIVES**



- 3.9.1 One of the objectives of this RFP is to make proposal preparation easy and efficient, while giving Offerors ample opportunity to highlight their proposals. The evaluation process must also be manageable and effective.
- 3.9.2 Proposals shall be prepared in a straightforward and concise manner, in a format that is reasonably consistent and appropriate for the purpose. Emphasis will be on completeness and clarity and content.
- 3.9.3 When an Offeror submits a proposal, it shall be considered a complete plan for accomplishing the tasks described in this RFP and any supplemental tasks the Offeror has identified as necessary to successfully complete the obligations outlined in this RFP.
- 3.9.4 The proposal shall describe in detail the Offeror's ability and availability of services to meet the goals and objectives of this RFP as stated in Section 2.2, *Scope of Work*.
- 3.9.5 Offeror shall submit a proposal that includes an overall strategy, timeline and plan for the work proposed as well as expected results and possible shortfalls.

### **3.10 PROPOSAL FORMS**

- 3.10.1 To be considered responsive, the Offeror's proposal shall respond to and include all items specified in this RFP and any subsequent addendum. Any proposal offering any other set of terms and conditions that conflict with the terms and conditions providing in the RFP or in any subsequent addendum may be rejected without further consideration.
- 3.10.2 Offer Form, Page OF-1. The Offeror is required to complete Offer Form OF-1 (SECTION SEVEN, Attachment 1) using the Offeror's exact legal name as registered with the Department of Commerce and Consumer Affairs (DCCA), if applicable, in the appropriate space on Offer Form OF-1. Failure to do so may delay proper execution of the Contract.

The Offeror's signature on the Offer Form, OF-1 shall be an original signature in ink, which shall be required before an award, if any, can be made. The submission of the proposal shall indicate Offeror's intent to be bound.

- 3.10.3 Offer Form, Page OF-2. Pricing shall be submitted on Offer Form OF-2 (SECTION SEVEN, Attachment 2). The price shall be the all-inclusive cost, including the GET, to the STATE. No other costs will be honored. Any unit prices shall be inclusive.

### **3.11 PROPOSAL CONTENTS**

Proposals must:

- 3.11.1 Include a transmittal letter to confirm that the Offeror shall comply with the requirements, provisions, terms, and conditions specified in this RFP.
- 3.11.2 Include a signed Offer Form OF-1 with the complete name and address of Offeror's firm and the name, mailing address, telephone number, and fax number of the person the STATE should contact regarding the Offeror's proposal.

3.11.3 If subcontractor(s) will be used, append a statement to the transmittal letter from each subcontractor, signed by an individual authorized to legally bind the subcontractor and stating:

- a. The general scope of work to be performed by the subcontractor;
- b. The subcontractor's willingness to perform for the indicated.

The Offeror is advised that, upon contract execution, no work or services shall be subcontracted or assigned without prior written approval of the STATE pursuant to AG General Condition AG-008 (6). No subcontract shall, under any circumstances, relieve the CONTRACTOR of their obligations and liability under this contract with the STATE. All persons engaged in performing the work covered by the contract shall be considered employees of the CONTRACTOR.

The Offeror is further advised that each subcontractor shall be required to complete Offer Form OF-1 (SECTION SEVEN, Attachment 1) with the subcontractor's exact legal name as registered with the Department of Commerce and Consumer Affairs (DCCA), if applicable, address of subcontractor's firm and the name, mailing address, telephone number, and fax number of the person the STATE should contact regarding the Offeror's proposal, and any and all other information requested on the form. The subcontractor's signature on the Offer Form OF-1 shall be an original signature in ink, which shall be required before an award, if any, can be made.

3.11.4 Provide all of the information requested in this RFP in the order specified.

3.11.5 Be organized into sections, following the exact format using all titles, subtitles, and numbering, with tabs separating each section described below. Each section must be addressed individually and pages must be numbered.

- a. Transmittal Letter

See SECTION SEVEN, Attachment 1, Offer Form OF-1.

- b. Experience and Capabilities.

- 1) A complete, relevant, and current client listing.
- 2) The number of years Offeror has been in business and the number of years Offeror has performed services specified by this RFP.
- 3) A list of key personnel and associated resumes for those who will be dedicated to this project.
- 4) A list of at least three (3) references from the Offeror's client listing that may be contacted by the STATE as to the Offeror's past and current job

performance. Offeror shall provide names, titles, organizations, telephone numbers, email and postal addresses.

- 5) A summary listing of judgments or pending lawsuits or actions against, adverse contract actions, including termination(s), suspension, imposition of penalties, or other actions relating to failure to perform or deficiencies in fulfilling contractual obligations against your firm. If none, so state.
- 6) A list of sample projects and/or examples of written plans.
- 7) Show what projects your organization has completed in the past five (5) years that are related to this project with name and address of project owner, brief project description, contract amount and completion date of project.
- 8) Has your organization ever failed to complete any work awarded to you? If so, please provide a brief description, including when and where it took place and why work was not completed.
- 9) Has any officer or partner of your organization in the past five (5) years been an officer, partner or individual of some other organization that failed to complete a contract? If so, state name of individual, other organization and reason therefore.
- 10) Has your organization in the past five (5) years performed work for any state or county agencies within the State of Hawai'i? If so, list the name of the department(s), project description(s) and department contact person(s).
- 11) Has your organization in the past five (5) years performed work for the U.S. Government? If so, list the name of the agency(ies), project description(s) and agency contact person(s).
- 12) Has your organization in the past five (5) years performed any work for any other government agencies outside the State of Hawai'i? If so, list the name of the agency(ies), project description(s) and agency contact person(s).

c. Proposal including an overall strategy, timeline and plan.

d. Pricing.

- 1) The proposal shall be priced and include a budget that includes any and all costs (labor, materials, supplies, venue, travel, including travel required for information and technical meetings, and honoraria), applicable taxes, and fees incurred to provide the specified services. The proposal shall include a detailed workplan of tasks with budgets, durations and personnel.

Inasmuch as the State of Hawai'i imposes a general excise tax on gross income, this levy should be taken into account when calculating costs.

- 2) The budget shall only contain the below specified categories as defined:

Category	Contractor
a. Personnel (S&W)*	\$
b. Fringe Benefits**	\$
c. Travel	\$
d. Equipment	\$
e. Supplies	\$
f. Contractual	\$
g. Construction	\$
h. Other	\$
i. Total Direct Charges	\$
j. Indirect Charges***	\$
Total	\$

\* The proposal shall provide the STATE with a list of project staff with associated personnel cost (salary/wages). If the Offeror intends to spend funds on equipment, travel and other, the Offeror must provide adequate details and justification (need, purpose, cost per trip, destination, etc.).

\*\*Fringe benefits are usually applicable to direct salaries and wages. The Offeror must provide the fringe benefit rate used and a clear description of how the computation of fringe benefits was done. If a fringe benefit rate is not used, the Offeror must show how the fringe benefits were computed for each position. If a fringe rate is greater than 35%, a description and breakdown of the benefits must be provided unless a negotiated indirect cost rate agreement (NICRA) has been provided. If fringe benefits are not computed by using a percent of salaries, provide a breakdown of how the computation is done. The fringe benefit costs should not be combined with direct salaries and wages in the personnel category.

\*\*\*Indirect costs are those costs incurred for common or joint objectives which cannot be readily identified with an individual project or program but are necessary to the operations of the organization. The Offeror must provide the most recent indirect cost rate agreement with the itemized budget. The applicable indirect cost rate(s) negotiated by the organization with the cognizant negotiating agency must be used in computing indirect costs (F&A) for a proposal (2 CFR §200.414). The amount for indirect costs should be calculated by applying the current negotiated indirect cost rate(s) to the approved base(s). Any non-Federal entity that has never received a negotiated indirect cost rate, except for those non-Federal entities described in Appendix VII to Part 200—States and Local Government and Indian Tribe Indirect Cost Proposals, paragraph (d)(1)(B)

may elect to charge a de minimis rate of 10% of modified total direct costs (MTDC) which may be used indefinitely.

3) See SECTION SEVEN, Attachment 2, Offer Form OF-2.

e. Exceptions.

See Section 3.7, *Exceptions*.

### **3.12 RECEIPT AND REGISTER OF PROPOSALS**

Offers shall be received at the State of Hawai'i, Department of Business, Economic Development & Tourism, Office of Planning, 235 S. Beretania Street, 6<sup>th</sup> Floor, Honolulu, Hawai'i, no later than the date and time stated in Section 1.4, *RFP Schedule and Significant Dates*, as amended. Timely receipt of offers shall be evidenced by the date and time registered by the STATE's time stamp clock. Offers received after the deadline shall be returned unopened.

If the Offeror chooses to deliver its offer by United States Postal Service (USPS), please be aware that the USPS does not deliver directly to 235 S. Beretania Street, 6<sup>th</sup> Floor, Honolulu, Hawai'i, but to a central mailroom. This may cause a delay in receipt by the STATE and the offer may reach the STATE after the deadline, resulting in automatic rejection.

The submission of a proposal shall constitute an incontrovertible representation by the Offeror of compliance with every requirement of the RFP and that the RFP is sufficient in scope and detail to indicate and convey reasonable understanding of all terms and conditions of performance of the work.

Before submitting a proposal, each Offeror must:

- A. Examine the solicitation documents thoroughly. Solicitation documents include this RFP, any attachments, exhibits, plans referred to herein, and any other relevant documents;
- B. Become familiar with state, county, and federal laws, statutes, ordinances, rules, and regulations that may in any manner affect, cost, progress, or performance of work.

3.12.1 Original Proposal and Copies to be Submitted. Offeror shall submit one (1) original proposal marked "ORIGINAL" and three (3) copies of the original marked "COPY." It is imperative to note that the Offeror submit only one original and the required number of copies. DO NOT SUBMIT MORE THAN ONE ORIGINAL.

Offeror is encouraged to submit typewritten offers. If handwritten, it should be clearly printed. Offeror is cautioned that illegible offers of any item(s) may be automatically rejected to avoid any errors in interpretation by the reviewers during the evaluation process.

The original proposal and three (3) copies of the proposal must be in a sealed envelope or box. The outside cover of the package containing the offer should be marked:

SEALED PROPOSAL FOR DBEDT SUBMITTED IN RESPONSE TO: RFP-20-01-OP

Department of Business, Economic Development & Tourism  
Office of Planning  
235 S. Beretania St., 6<sup>th</sup> Floor  
Honolulu, Hawai'i 96813

(Name, address, telephone number of Offeror)

3.12.2 Copies of documents transmitted by Offerors via facsimile machines shall be limited to the modifications or withdrawal of an offer pursuant to HAR §§ 3-122-108 and 3-122-28, respectively.

### **3.13 RECEIPT AND REGISTER OF PROPOSALS**

Proposals will be received and receipt verified by two or more procurement officials on or after the date and time specified in Section 1.4, *RFP Schedule and Significant Dates*, or as amended.

The register of proposals and proposals of the Offeror(s) shall be open to public inspection upon posting of award pursuant to HRS § 103D-701.

### **3.14 QUESTIONS PRIOR TO OPENING PROPOSALS**

All questions must be submitted in writing and directed to, Yusraa Tadj, facsimile: (808) 587-2824, or email: yusraa.tadj@hawaii.gov. The STATE will respond to written questions by the date indicated in Section 1.4, *RFP Schedule and Significant Dates*, as amended.

### **3.15 PROPOSAL OPENING**

Proposals shall be opened at the date, time, and place specified in Section 1.4, *RFP Schedule and Significant Dates*, or as amended. Proposals need not be opened publicly, but shall be opened in the presence of two or more procurement officials.

### **3.16 PRIORITY LISTED OFFERORS**

Proposals may be accepted on evaluation without discussion. However, if deemed necessary, prior to entering into discussions, a "priority list" of responsible Offerors submitting acceptable and potentially acceptable proposals shall be generated. The priority list may be limited to a minimum of three responsible Offerors who submitted the highest-ranked proposals. The objective of these discussions is to clarify issues regarding the Offeror's proposal before the Best and Final Offer (BAFO) is tendered.

### **3.17 BEST AND FINAL OFFER (BAFO)**

If the STATE determines a BAFO is necessary, it shall request one from the Priority Listed Offerors. The Priority Listed Offerors shall submit their BAFO and any BAFO received after the deadline or not received shall not be considered.

### **3.18 MODIFICATION PRIOR TO SUBMITTAL DEADLINE OR WITHDRAWAL OF OFFERS**

3.17.1 The Offeror may modify or withdraw a proposal before the proposal due date and time.

3.17.2 Any change, addition, deletion of attachment(s) or data entry of an Offer may be made prior to the deadline for submittal offers.

### **3.19 MISTAKES IN PROPOSALS**

3.19.1 Mistakes shall not be corrected after award of contract.

3.19.2 When the Procurement Officer knows or has reason to conclude before award that a mistake has been made, the Procurement Officer should request the Offeror to confirm the proposal. If the Offeror alleges mistake, the proposal may be corrected or withdrawn pursuant to this section.

3.19.3 Once discussions are commenced or after BAFO are requested, any priority-listed Offeror may freely correct any mistake by modifying or withdrawing the proposal until the time and date set for receipt of best and final offers.

3.19.4 If discussions are not held, or if the BAFO upon which award will be made have been received, mistakes shall be corrected to the intended correct offer whenever the mistake and the intended correct offer are clearly evident on the face of the proposal, in which event the proposal may not be withdrawn.

3.19.5 If discussions are not held, or if the BAFO upon which award will be made have been received, an Offeror alleging a material mistake of fact which makes a proposal non-responsive may be permitted to withdraw the proposal if: the mistake is clearly evident on the face of the proposal but the intended correct offer is not; or the Offeror submits evidence which clearly and convincingly demonstrates that a mistake was made.

Technical irregularities are matters of form rather than substance evident from the proposal document, or insignificant mistakes that can be waived or corrected without prejudice to other Offerors; that is, when there is no effect on price, quality, or quantity. If discussions are not held or if BAFO upon which award will be made have been received, the Procurement Officer may waive such irregularities or allow an Offeror to correct them if either is in the best interest of the STATE. Examples include the failure of an Offeror to: return the number of signed proposals required by the request for proposals; sign the proposal, but only if the unsigned proposal is accompanied by other material indicating the Offeror's intent to be bound; or to acknowledge receipt of an amendment to the request for proposal, but only if it is clear from the proposal that the Offeror received the amendment and intended to be bound by its terms; or the amendment involved had no effect on price, quality or quantity.

### **3.20 CANCELLATION OF RFP AND PROPOSAL REJECTION**

The STATE reserves the right to cancel this RFP and to reject any and all proposals in whole or in part when it is determined to be in the best interest of the STATE, pursuant to HAR §§ 3-122-96 through 3-122-97.

The STATE shall not be liable for any costs, expenses, loss of profits or damages whatsoever, incurred by the Offeror in the event this RFP is cancelled or a proposal is rejected.



## SECTION FOUR

### EVALUATION CRITERIA

#### 4.1 MINIMUM CRITERIA FOR PROPOSAL RESPONSIVENESS

- 4.1.1. Unfavorable references may be justification for rejection of a proposal.
- 4.1.2. The STATE reserves the right to use whatever resources are available to the STATE to seek additional reference in addition to those submitted in the proposal.
- 4.1.3. Submitting incomplete proposal documents or failure to sign the proposal documents may be justification for rejection of a proposal.
- 4.1.4. Failure to respond or comply with the specifications provided in the solicitation or the requirements provided by statutes or law may be justification for rejection of a proposal.

#### 4.2 PROPOSAL EVALUATION CRITERIA

An evaluation committee shall be appointed by the OP Director. The committee shall evaluate responsive proposals in accordance with the SECTION THREE entitled "Format and Content" and based on the evaluation criteria in this section. Evaluation criteria and the associated percentages are listed below. The award will be made to the responsive, responsible Offeror whose proposal is determined to be the most acceptable to the STATE based on evaluation criteria described in this section.

Each criterion will be rated on a scale of one (1) to four (4), according to the table below.

1 point	2 points	3 points	4 points
Unsatisfactory proposal; negative or missing elements	Proposal partially addresses criteria; many areas deficient or weak	Good proposal; criteria clearly evident, but a few areas deficient	Strong proposal, with elaboration that exceeds expectations for criteria

Each **rating** will then be multiplied by the **percent value** that particular criterion is worth, in order to calculate the **points earned**. The total sum of points earned for each proposal will then be calculated. The evaluation criteria and the percent values are listed in the table below.

Evaluation Criteria	Criteria Rating (1-4)	Percent value (%)	Total Points Earned
<b>A. Qualifications &amp; Experience</b>			
<b>1.</b> Demonstration of knowledge and experience with 2016 edition of American Society of Civil Engineers 7: Minimum Design Loads and Associated Criteria for Buildings and Other		10%	

<b>Evaluation Criteria</b>	<b>Criteria Rating (1-4)</b>	<b>Percent value (%)</b>	<b>Total Points Earned</b>
Structures, Chapter 6 – Tsunami Loads and Effects			
<b>2.</b> Demonstration of experience developing probabilistic tsunami mapping in Hawai'i		10%	
<b>3.</b> Demonstration of knowledge and experience with probabilistic tsunami hazard analysis to create and develop natural hazard maps and/or models		15%	
<b>4.</b> Demonstration of knowledge and experience with probabilistic tsunami hazard analysis to create natural hazard maps and/or modeling specific to Island of Maui, State of Hawai'i		15%	
<b>5.</b> Demonstration of knowledge and experience with developing natural hazard: (a) maps and/or models in common file formats (e.g., Keyhole Markup Language Zipped (KMZ) file and/or ESRI shapefile format) for convenient viewing and editing and hosting on ASCE, NOAA, or State GIS websites) and (b) mapping products, such as maps, map books, map products, metadata (FGDC-CSDGM compliant metadata required), project Geodatabase (e.g., ESRI file geodatabase or ESRI shapefiles), etc.		10%	
<b>6.</b> Demonstration of knowledge and experience related to Maui County Building Code for use with hazard maps and/or models, including but not limited to drafting proposed language to amend statutes and/or ordinances.		8%	
<b>7.</b> Demonstration of experience in directing, overseeing, updating, or managing government projects or projects with similar scope of work.		5%	
<b>8.</b> Demonstration of experience in organizing and conducting meetings of advisory groups, stakeholder meetings, informational meetings, or working groups.		5%	
<b>9.</b> Demonstration of knowledge and experience related to the State Building Code Council for use with natural hazard maps and/or models		2%	
<b>B. Proposal</b>			
<b>1.</b> Completeness and effectiveness of proposal to adequately cover all elements in the Scope of Work.		5%	

<b>Evaluation Criteria</b>	<b>Criteria Rating (1-4)</b>	<b>Percent value (%)</b>	<b>Total Points Earned</b>
<b>2.</b> Sufficient time is allotted for each task, based on the nature and complexity of the topics involved, and for completion of the entire Contract.		5%	
<b>C. Budget</b>			
<b>1.</b> Budget and estimated unit cost for services and tasks are itemized and clear and appear appropriate to the level of effort required.		5%	
<b>D. References</b>			
<b>1.</b> References are satisfied with the Offeror's skill sets, deliverables, and overall attitude and responsiveness to clients' needs.		5%	
<b>TOTALS</b>		<b>100%</b>	

## SECTION FIVE

### **CONTRACTOR SELECTION AND CONTRACT AWARD**

#### **5.1 EVALUATION OF PROPOSALS**

The Procurement Officer, or an evaluation committee of at least three (3) qualified State employees selected by the Procurement Officer, shall evaluate proposals. The evaluation will be based solely on the evaluation criteria set out in Section Four of this RFP.

#### **5.2 DISCUSSION WITH PRIORITY LISTED OFFERORS**

The STATE's acceptance of offer, if any, will be made within sixty (60) calendar days after the opening of the proposals. Prices or commission quotes by the Offeror shall remain firm for sixty (60) calendar days.

#### **5.3 AWARD OF CONTRACT**

Award will be made to the responsible Offeror whose proposal is determined to be the most advantageous to the STATE based on the evaluation criteria set forth in the RFP.

#### **5.4 RESPONSIBILITY OF OFFERORS**

Offeror is advised that to be awarded a contract under this solicitation, Offeror, and its subcontractors, if any, will be required to be compliant with all laws governing entities doing business in the State including the following chapters and pursuant to HRS § 103D-310(c):

1. Chapter 237, General Excise Tax Law;
2. Chapter 383, Hawai'i Employment Security Law;
3. Chapter 386, Worker's Compensation Law;
4. Chapter 392, Temporary Disability Insurance;
5. Chapter 393, Prepaid Health Care Act; and
6. §103D-310(c), Certificate of Good Standing (COGS) for entities doing business in the State.

The STATE will verify compliance on Hawai'i Compliance Express (HCE).

**Hawai'i Compliance Express.** The HCE is an electronic system that allows vendors/contractors/service providers doing business with the State to quickly and easily demonstrate compliance with applicable laws. It is an online system that replaces the necessity of obtaining paper compliance certificates from the Department of Taxation, Federal Internal Revenue Service; Department of Labor and Industrial Relations, and Department of Commerce and Consumer Affairs.

Vendors/contractors/service providers should register with (HCE) prior to submitting an offer at <https://vendors.ehawaii.gov>. The annual registration fee is \$12.00 and the 'Certificate of Vendor Compliance' is accepted for the execution of contract and final payment.

**Timely Registration on HCE.** Vendors/contractors/service providers are advised to register on HCE as soon as possible. If a vendor/contractor/service provider is not compliant on HCE at the time of award, an Offeror will not receive the award.

## **5.5 PROPOSAL AS PART OF THE CONTRACT**

This RFP and successful proposal shall be incorporated into the resulting contract by reference and to the extent that this RFP and the successful proposal conflict, the terms of the RFP shall govern, unless otherwise agreed upon by the STATE in the contract.

## **5.6 PUBLIC EXAMINATION OF PROPOSALS**

Except for confidential portions, the proposals shall be made available for public inspection upon posting of award pursuant to HRS § 103D-701.

If a person is denied access to a State procurement record, the person may appeal the denial to the office of information practices in accordance with HRS § 92F-42(12).

## **5.7 DEBRIEFING**

Pursuant to HAR § 3-122-60, a non-selected Offeror may request a debriefing to understand the basis for award.

A written request for debriefing shall be made within three (3) working days after the posting of the award of the contract. The Procurement Officer or designee shall hold the debriefing within seven (7) working days to the extent practicable from the receipt date of the written request.

Any protest by the requestor following a debriefing shall be filed in writing within five (5) working days after the date upon which the debriefing is completed, as specified in HRS § 103D-303(h).

## **5.8 PROTEST PROCEDURES**

Pursuant to HRS § 103D-701 and HAR § 3-126-3, an actual or prospective Offeror who is aggrieved in connection with the solicitation or award of a contract may submit a protest. Any protest shall be submitted in writing to the Procurement Officer at:

Mary Alice Evans  
Director, Office of Planning  
P.O. Box 2359  
Honolulu, Hawai'i 96804-2359

A protest shall be submitted in writing within five (5) working days after the aggrieved person knows or should have known of the facts giving rise thereto, provided that a protest based upon the content of the solicitation shall be submitted in writing prior to the date set for receipt of offers. Further provided that a protest of an award or proposed award shall be submitted within five (5) working days after the posting of award, or, if requested, within five (5) working days after the Procurement Officer's debriefing was completed.

The notice of award, if any, resulting from this solicitation shall be posted on the Procurement Awards, Notices and Solicitations (PANS), which is available on the State Procurement Office (SPO) website: <http://www.spo.hawaii.gov>.

## **5.9 APPROVALS**

Any agreement arising out of this offer may be subject to the approval of the Department of the Attorney General, and to all further approvals, including the approval of the Governor, as required by statute, regulation, rule, order, or other directive.

## **5.10 CONTRACT EXECUTION**

Successful Offeror receiving award shall enter into a formal written contract in the form as in SECTION SEVEN, Exhibit B.

No work is to be undertaken by the CONTRACTOR prior to the effective date of contract. The State of Hawai'i is not liable for any work, contract, costs, expenses, loss of profits, or any damages whatsoever incurred by the CONTRACTOR prior to the official starting date.

If an option to extend is mutually agreed upon, the CONTRACTOR shall be required to execute a supplement to the contract for the additional extension period.

## **5.11 CONTRACT MODIFICATIONS – UNANTICIPATED AMENDMENTS**

During the course of this contract, the CONTRACTOR may be required to perform additional work that will be within the general scope of the initial contract. When additional work is required, the Contract Administrator will provide the CONTRACTOR a written description of the additional work and request the CONTRACTOR to submit a firm time schedule for accomplishing the additional work and a firm price for the additional work.

Changes to the contract may be modified only by written document (contract modification) signed by the STATE and CONTRACTOR personnel authorized to sign contracts on behalf of the CONTRACTOR.

The CONTRACTOR will not commence additional work until a signed contract modification has been issued.

## **5.12 INSURANCE**

5.12.1 Prior to the contract start date, the CONTRACTOR shall procure at its sole expense and maintain insurance coverage acceptable to the State in full force and effect throughout the term of the Contract. The Offeror shall provide proof of insurance for the following minimum insurance coverage(s) and limit(s) in order to be awarded a contract. The type of insurance coverage is listed as follows:

1. Commercial General Liability Insurance

Commercial general liability insurance coverage against claims for bodily injury and property damage arising out of all operations, activities or contractual liability

by the CONTRACTOR, its employees and subcontractors during the term of the Contract. This insurance shall include the following coverage and limits specified or required by any applicable law: bodily injury and property damage coverage with a minimum of \$1,000,000.00 per occurrence; personal and advertising injury of \$1,000,000.00 per occurrence; broadcasters' liability insurance of \$1,000,000.00 per occurrence; and with an aggregated limit of \$2,000,000.00. The commercial general liability policy shall be written on an occurrence basis and the policy shall provide legal defense costs and expenses in addition to the limits of liability stated above. The CONTRACTOR shall be responsible for payment of any deductible applicable to this policy.

2. Automobile Liability Insurance

Automobile liability insurance covering owned, non-owned, leased, and hired vehicles with a minimum of \$1,000,000.00 for bodily injury for each person, \$1,000,000.00 for bodily injury for each accident, and \$1,000,000.00 for property damage for each accident.

3. Professional (Errors & Omission) Liability Insurance

Professional (Errors and Omission) liability insurance with an amount no less than \$1,000,000.00 per claim and no less than \$2,000,000.00 in the aggregate.

4. Appropriate levels of per occurrence insurance coverage for workers' compensation and any other insurance coverage required by Federal or State law.

5.12.2 The CONTRACTOR shall deposit with the SPO, on or before the effective date of the Contract, certificate(s) of insurance necessary to satisfy the SPO that the provisions of the Contract have been complied with, and to keep such insurance in effect and provide the certificate(s) of insurance to the SPO during the entire term of the Contract. Upon request by the SPO, the CONTRACTOR shall furnish a copy of the policy or policies.

5.12.3 The CONTRACTOR will immediately provide written notice to the SPO and contracting department or agency should any of the insurance policies evidenced on its Certificate of Insurance form be cancelled, limited in scope, or not renewed up expiration.

5.12.4 The certificates of insurance shall contain the following clauses:

1. "The State of Hawai'i, its departments, attached agencies, officers, employees, and agents are added as additional insured as respect to operations performed for the State of Hawai'i."
2. "It is agreed that any insurance maintained by the State of Hawai'i will apply in excess of, and not contribute with, insurance provided by this policy."

5.12.5. Failure of the CONTRACTOR to provide and keep in force such insurance shall constitute a material default under the Contract, entitling the State to exercise any or all of the remedies provided in the Contract (including without limitation terminating the Contract). The procuring of any required policy or policies of insurance shall not be

construed to limit the CONTRACTOR'S liability hereunder, or to fulfill the indemnification provisions of the Contract. Notwithstanding said policy or policies of insurance, the CONTRACTOR shall be responsible for the full and total amount of any damage, injury, or loss caused by the CONTRACTOR'S negligence or neglect in the provision of services under the Contract.

#### **5.13 REQUIREMENTS FOR PERFORMANCE BONDS**

No performance or payment bond shall be required for this Contract.

#### **5.14 PAYMENT**

Incremental payments shall be made to the awarded CONTRACTOR upon receipt of deliverables that meet the expectations of the RFP. The deliverables shall be due based on the STATE approved timeline submitted by the CONTRACTOR in the proposal, or as amended.

HRS § 103-10 provides that the STATE shall have thirty (30) calendar days after receipt of invoice or satisfactory completion of contract to make payment. For this reason, the STATE will reject any offer submitted with a condition requiring payment within a shorter period. Further, the STATE will reject any offer submitted with a condition requiring interest payments greater than that allowed by HRS § 103-10, as amended.

The STATE will not recognize any requirement established by the CONTRACTOR and communicated to the STATE after award of the contract, which requires payment within a shorter period or interest payment not in conformance with statute.

#### **5.15 CONTRACT INVALIDATION**

If any provision of this contract is found to be invalid, such invalidation will not be construed to invalidate the entire contract.



## SECTION SIX

### SPECIAL PROVISIONS

#### 6.1 OFFER GUARANTY

A proposal security deposit is NOT required for this RFP.

#### 6.2 INTELLECTUAL PROPERTY RIGHTS

The STATE reserves the right to unlimited, irrevocable, worldwide, perpetual, royalty-free, non-exclusive licenses to use, modify, reproduce, perform, release, display, create derivative works from, and disclose the work product, and to transfer the intellectual property to third parties for State purposes.

#### 6.3 PREFERENCES

##### 6.3.1 HAWAI'I PRODUCTS PREFERENCE

In accordance with HRS § 103D-1002, the Hawai'i products preference is applicable to this solicitation. Hawai'i products [are/may be] available for those items noted on the offer form. The Hawai'i products list is available on the SPO webpage at: <http://spo.hawaii.gov/for-vendors/hawaii-product-preferences/>. Offeror submitting a Hawai'i Product (HP) shall identify the HP on the solicitation offer page(s). Any person desiring a Hawai'i product preference shall have the product(s) certified and qualified if not currently on the Hawai'i products list, prior to the deadline for receipt of offer(s) specified in the procurement notice and solicitation. The responsibility for certification and qualification shall rest upon the person requesting the preference.

Persons desiring to qualify their product(s) not currently on the HP list shall complete form SPO-038, *Certification for Hawai'i Product Preference*, and submit to the Procurement Officer issuing the solicitation (IFB or RFP), and provide all additional information required by the Procurement Officer. For each product, one (1) form shall be completed and submitted (i.e., three (3) products should have three (3) separate forms completed). Form SPO-038 is available on the SPO webpage at: <http://spo.hawaii.gov/all-forms/>.

When a solicitation contains both HP and non-HP, then for the purpose of selecting the lowest bid or purchase price only, the price offered for a HP item shall be decreased by subtracting 10% for the class I or 15% for the class II HP items offered, respectively. The lowest total offer, taking the preference into consideration, shall be awarded the contract unless the offer provides for additional award criteria. The contract amount of any contract awarded, however, shall be the amount of the price offered, exclusive of preferences.

Change in Availability of Hawai'i Product. In the event of any change that materially alters the Offeror's ability to supply Hawai'i products, the Offeror shall notify the Procurement Officer in writing no later than five (5) working days from when the Offeror knows of the

change and the parties shall enter into discussions for the purposes of revising the contract or terminating the contract for convenience.

#### 6.3.2 PRINTING PREFERENCE

All bids or proposals submitted for a printing, binding, or stationary contract in which all work will be performed in-state, including all preparatory work, presswork, bindery work, and any other production-related work shall receive a fifteen percent preference for purposes of bid or proposal evaluation.

Where bids or proposals are for work performed in-state and out-of-state, then for the purpose selecting the lowest bid or evaluating proposals submitted, the amount bid or proposed for work performed out-of-state shall be increased by fifteen percent. The lowest total offer, taking the preference into consideration, shall be awarded the contract unless the solicitation provides for additional award criteria. The contract amount awarded, however, shall be the amount of the price offered, exclusive of the preference

#### 6.4 **CERTIFICATION OF OFFEROR CONCERNING WAGES, HOURS AND WORKING CONDITIONS OF EMPLOYEES SUPPLYING SERVICES**

All Offerors for service contracts shall comply with HRS § 103-55, which provides as follows:

Wages, hours, and working conditions of employees of performing services. (a) Before any offeror enters into a contract to perform services in excess of \$25,000.00 for any governmental agency, the offeror shall certify that the services to be performed will be performed under the following conditions:

Wages. The services to be rendered shall be performed by employees paid at wages or salaries not less than the wages paid to public officers and employees for similar work.

Compliance with labor laws. All applicable laws of the federal and state governments relating to workers' compensation, unemployment compensation, payment of wages, and safety will be fully complied with.

(b) No contract to perform services for any governmental contracting agency in excess of \$25,000.00 shall be granted unless all the conditions of this section are met. Failure to comply with the conditions of this section during the period of the contract to perform services shall result in cancellation of the contract, unless such noncompliance is corrected within a reasonable period as determined by the Procurement Officer. Final payment of a contract or release of bonds or both shall not be made unless the Procurement Officer has determined that the noncompliance has been corrected.

It shall be the duty of the governmental contracting agency awarding the contract to perform services in excess of \$25,000.00 to enforce this section.

(c) This section shall apply to all contracts to perform services in excess of \$25,000.00, including contracts to supply ambulance service and janitorial service.

This section shall not apply to:

- (1) Managerial, supervisory, or clerical personnel.
- (2) Contracts for supplies, materials, or printing.
- (3) Contracts for utility services.
- (4) Contracts to perform personal services under paragraphs (2), (3), (12), and (15) of HRS § 76-16, paragraphs (7), (8), and (9) of HRS § 46-33, and paragraphs (7), (8), and (12) of HRS § 76-77.
- (5) Contracts for professional services.
- (6) Contracts to operate refreshment concessions in public parks, or to provide food services to educational institutions.
- (7) Contracts to provide transportation services for school children.
- (8) Contracts with nonprofit institutions.

## **6.5 ADDITIONAL TERMS AND CONDITIONS**

The STATE reserves the right to add terms and conditions during the contract negotiations. These terms and conditions may be within the scope of the RFP.

## **6.6 SPECIAL CONDITIONS**

6.6.1 All work and products developed shall comply with all applicable state, county, and federal rules, codes and guidelines.

6.6.2 The CONTRACTOR must assure and be responsible for the continuity of service activities in the event of staff illness, medical emergencies, vacancies, or other situations that result in program resources that are less than proposed and contracted for. The CONTRACTOR must not require nor depend on the STATE'S staff to provide service activities in the event that program resources are not available due to the above situation.

6.6.3 When a disagreement arises between the CONTRACTOR and the STATE in regards to the performance of specified service requirements within contract specifications, the wishes of the STATE shall prevail. Failure on the part of the CONTRACTOR to comply shall be deemed cause for corrective action and subject to contractual remedies.

6.6.4 The STATE reserves the right to reduce, amend, or expand the "Scope of Services."

## **6.7 INVOICING**

CONTRACTOR shall electronically submit an invoice with each request for payment. **Reference both the contract number and the RFP number on all invoices.**

Each invoice submitted for payment shall state with specificity the amount being requested for each deliverable (or partial deliverable) completed and should not combine multiple deliverables into a single amount requested.

#### **6.8 NON-DISCRIMINATION**

The CONTRACTOR shall comply with all applicable federal and state laws prohibiting discrimination against any person on the grounds of race, color, national origin, religion, creed, sex, age, sexual orientation, marital status, handicap, or arrest and court records in employment and any condition of employment with the CONTRACTOR or in participation in the benefits of any program or activity funded in whole or in part by the State.

#### **6.9 CONFLICT OF INTEREST**

The CONTRACTOR represents that neither the CONTRACTOR, nor any employee or agent of the CONTRACTOR, presently has any interest, and promises that no such interest, direct or indirect, shall be acquired, that would or might conflict in any manner or degree with the CONTRACTOR's performance under this Contract.

#### **6.10 WAIVER**

The failure of the STATE to insist upon the strict compliance with any term, provision, or condition of this Contract shall not constitute or be deemed to constitute a waiver or relinquishment of the STATE'S right to enforce the same in accordance with this Contract.

#### **6.11 CAMPAIGN CONTRIBUTIONS BY STATE AND COUNTY CONTRACTORS**

It has been determined that a portion of funds for this Contract have been appropriated by a legislative body.

Therefore, Offeror, if awarded a contract in response to this solicitation, agrees to comply with HRS § 11-205.5, which states that campaign contributions are prohibited from a State and county government contractor during the term of the contract if the contractor is paid with funds appropriated by a legislative body.

#### **6.12 ADDITIONS, AMENDMENTS, AND CLARIFICATIONS**

**Records Retention.** The CONTRACTOR and any subcontractors shall maintain the books and records that relate to the Agreement and any cost or pricing data for three (3) years from the date of final payment under the Agreement.

**Correctional Industries.** Goods and services available through Hawai'i Correctional Industries (HCI) programs may be the same or similar to those awarded by competitive sealed bids or proposals. Agencies participating in STATE requirements (price list) contracts may also procure directly from HCI and shall not be considered in violation of the terms and conditions of any STATE contract.

**Competency of Offeror.** Prospective Offeror must be capable of performing the work for which offers are being called. Either before or after the deadline for an offer, the purchasing agency may

require Offeror to submit answers to questions regarding facilities, equipment, experience, personnel, financial status or any other factors relating to the ability of the Offeror to furnish satisfactorily the goods or services being solicited by the STATE. Any such inquiries shall be made and replied to in writing; replies shall be submitted over the signatures of the person who signs the offer. Any Offeror who refuses to answer such inquiries will be considered non-responsive.

**Preparation of Offer.** An Offeror may submit only one offer in response to a solicitation. If an Offeror submits more than one offer in response to a solicitation, then all such offers shall be rejected. Similarly, an Offeror may submit only one offer for each line item (if any) of a solicitation. If an Offeror submits more than one offer per line item, then all offers for that line item shall be rejected.

## **SECTION SEVEN**

### **ATTACHMENTS AND EXHIBITS**

- Attachment 1: OFFER FORM, OF-1
- Attachment 2: OFFER FORM, OF-2
- Exhibit A: GENERAL PROVISIONS
- Exhibit B: CONTRACT FORM and AG GENERAL CONDITIONS
- Exhibit C: OVERVIEW OF THE RFP PROCESS
- Exhibit D: NOAA SPECIAL CONDITIONS

**STATE OF HAWAI'I  
DEPARTMENT OF BUSINESS, ECONOMIC DEVELOPMENT, AND TOURISM  
OFFICE OF PLANNING**

**"DEVELOPMENT OF HIGH RESOLUTION PROBABILISTIC TSUNAMI DESIGN ZONE MAPS COMPATIBLE  
WITH ASCE 7-22 FOR THE HIGHER-RISK COASTAL AREAS OF THE ISLAND MAUI, STATE OF HAWAI'I"**

**SOLICITATION No. RFP 20-01-OP**

**ATTACHMENT 1 – OFFER FORM OF-1**

**OFFER FORM  
OF-1**

**DEVELOPMENT OF HIGH RESOLUTION PROBABILISTIC TSUNAMI DESIGN ZONE MAPS COMPATIBLE  
WITH ASCE 7-22 FOR THE HIGHER-RISK COASTAL AREAS OF THE ISLAND MAUI, STATE OF HAWAII  
RFP-20-01-OP**

Ms. Mary Alice Evans, Director  
Office of Planning  
Department of Business, Economic Development & Tourism  
State of Hawai'i  
P.O. Box 2359  
Honolulu, Hawai'i 96804-2359

Dear Procurement Officer:

The undersigned has carefully read and understands the terms and conditions specified in the Specifications and Special Provisions attached hereto, and in the General Conditions, by reference made a part hereof and available upon request; and hereby submits the following offer to perform the work specified herein, all in accordance with the true intent and meaning thereof. The undersigned further understands and agrees that by submitting this offer, 1) he/she is declaring his/her offer is not in violation of Chapter 84, Hawai'i Revised Statutes, concerning prohibited State contracts, and 2) he/she is certifying that the price(s) submitted was (were) independently arrived at without collusion.

Offeror is:

☐ Sole Proprietor    ☐ Partnership    ☐ \*Corporation    ☐ Joint Venture  
☐ Other \_\_\_\_\_

\*State of incorporation: \_\_\_\_\_

Hawai'i General Excise Tax License I.D. No. \_\_\_\_\_

Federal I.D. No. \_\_\_\_\_

Payment address (other than street address below): \_\_\_\_\_

City, State, Zip Code: \_\_\_\_\_

Business address (street address): \_\_\_\_\_

City, State, Zip Code: \_\_\_\_\_

Respectfully submitted:

Date: \_\_\_\_\_

(x) \_\_\_\_\_

Authorized (Original) Signature

Telephone No.: \_\_\_\_\_

\_\_\_\_\_  
Name and Title (Please Type or Print)

Fax No.: \_\_\_\_\_

\*\*

E-mail Address: \_\_\_\_\_

**Exact Legal Name of Company (Offeror)**

\*\*If Offeror is a "dba" or a "division" of a corporation, furnish the exact legal name of the corporation under which the awarded contract will be executed: \_\_\_\_\_



**STATE OF HAWAI'I  
DEPARTMENT OF BUSINESS, ECONOMIC DEVELOPMENT, AND TOURISM  
OFFICE OF PLANNING**

**"DEVELOPMENT OF HIGH RESOLUTION PROBABILISTIC TSUNAMI DESIGN ZONE MAPS  
COMPATIBLE WITH ASCE 7-22 FOR THE HIGHER-RISK COASTAL AREAS OF THE ISLAND MAUI,  
STATE OF HAWAI'I"**

**SOLICITATION No. RFP 20-01-OP**

**ATTACHMENT 2 – OFFER FORM OF-2**

**OFFER FORM  
OF-2**

Total contract cost for accomplishing the development and delivery of the services.

\$ \_\_\_\_\_

Provide a Proposal priced with a budget, including costs, for all tasks and service proposed. The proposal shall recommend a progress payment schedule based on deliverables for all tasks as they are completed.

Note: Pricing shall include, labor materials, supplies, venue, travel, honoraria, all applicable taxes, and any other costs incurred to provide the specified services.

Offeror \_\_\_\_\_  
Name of Company

**STATE OF HAWAI'I  
DEPARTMENT OF BUSINESS, ECONOMIC DEVELOPMENT, AND TOURISM  
OFFICE OF PLANNING**

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**SOLICITATION No. RFP 20-01-OP**

**EXHIBIT A - GENERAL PROVISIONS**

# **GENERAL PROVISIONS FOR GOODS AND SERVICES**

## **HAWAII REVISED STATUTES (HRS) CHAPTER 103D**

Attached are the General Provisions, dated April 2013 which are made a part of all offers in response to the solicitation for goods and services. These provisions are in addition to the special provisions provided in the individual solicitations.

Offerors are cautioned to read and understand all the terms and conditions contained in the General Provisions as these provisions will also be made part of the contract for goods and services.

**GENERAL PROVISIONS  
FOR  
GOODS AND SERVICES**

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**1. DEFINITIONS OF TERMS**

Terms as used in these General Provisions, unless the context requires otherwise, shall have the following meaning:

a. BID

Bid means any offer submitted in competitive sealed bidding or in the second phase of multi-step bidding.

b. BID PROPOSAL GUARANTY OR SECURITY

The security when required, furnished by an offeror with his offer to ensure that the offeror will enter into the contract with the STATE and execute the required contract and payment bonds covering the work contemplated, if his offer is accepted.

c. CONTRACT

Contract means the combination of the solicitation, including the instructions to offerors, the specifications or scope of work, the special provisions, and the general terms and conditions; the offer and any best and final offers; and any amendments to the solicitation or to the contract; and any terms implied by law.

d. CONTRACT BOND

The approved form of security furnished by the CONTRACTOR and his surety or sureties or by the CONTRACTOR alone, to ensure completion and satisfactory performance of the contract in accordance with the terms of the contract and to guarantee full payment of all claims for labor, materials and supplies furnished, used or incorporated in the work.

e. CONTRACTOR

An individual, partnership, firm, corporation, joint venture or other legal entity undertaking the execution of work under the terms of the contract with the STATE and acting directly or through his, their or its agents, employees or sub-contractors.

f. DAYS

Days mean calendar days unless otherwise specified.

g. GENERAL CONDITIONS

General Conditions issued by the Department of the Attorney General of the State of Hawaii, referred to as Form AG-008, as revised, and included in solicitations by reference. The applicable revised Form AG-008, which is included by reference, is the form dated and in effect at the date the solicitation is issued.

h. GENERAL PROVISIONS

General Provisions are standard terms and conditions.

i. HAR

Hawaii Administrative Rules

j. HEAD OF THE PURCHASING AGENCY

The head of any agency with delegated procurement authority by law or from a chief procurement officer of this STATE to enter into and, administer contracts.

k. HRS

Hawaii Revised Statutes

l. IFB

Invitation for Bids

m. OFFER

An offer means a bid or proposal as defined in sections 1a and 1p, in response to any solicitation.

n. OFFEROR

Any individual, partnership, firm, corporation, joint venture or other legal entity, submitting directly or through a duly authorized representative or agent, an offer for the work or services contemplated in response to a solicitation as defined in 1s.

o. PROCUREMENT OFFICER

Procurement officer means the person with procurement delegation duly authorized to enter into and administer contracts and make written determinations with respect to the contract. The term includes an authorized representative acting within the limits of authority. The delegated authority is received from the chief procurement officer directly or through the head of a purchasing agency or designee to the procurement officer.

p. PROPOSAL

A proposal means any offer submitted in response to any solicitation, except a bid as defined in section 1a.

q. PURCHASING AGENCY

Purchasing agency means any governmental body which is authorized by law or rules, or by way of delegation to enter into contracts for procurement of goods, services, or construction.

r. RFQ

Request for Quotes

s. RFP

Request for Proposals

t. SOLICITATION

Solicitation means an invitation for bids ("IFB"), used in the competitive sealed bidding process, a request for quotes ("RFQ") used in the small purchases process, or a request for proposals ("RFP"), used in the competitive sealed

proposal process for the purpose of obtaining quotes, bids or proposals to perform a STATE contract.

u. **SPECIAL PROVISIONS**

The terms and conditions pertaining to the specific solicitation in which they are contained and in addition to these General Provisions; including but not limited to terms and conditions describing the preparation of solicitations, evaluation of offers, determination of award, plus those applicable to performance by the CONTRACTOR.

Additions or revisions to the General Provisions, which shall be considered a part of the General Provisions, setting forth conditions or requirements applicable to the particular project or contract under consideration shall be included in the Special Provisions. Should any Special Provisions conflict with these General Provisions, said Special Provisions shall govern.

v. **SPECIFICATIONS**

A description of what the purchasing agency requires and, consequently, what an offeror must offer to be considered for award.

w. **STATE**

STATE means the remaining departments of the executive branch and all governmental bodies administratively attached to it, excluding the judiciary, the legislature, the department of education, University of Hawaii, the division of community hospitals, and the office of Hawaiian affairs, except where specifically included in any particular solicitation.

x. **SURETY**

The individual, firm, partnership or corporation other than the CONTRACTOR, which executes a bond with and for the CONTRACTOR to ensure the CONTRACTOR's acceptable performance of the contract.

y. **WORK**

The furnishing by the CONTRACTOR of all labor, services, materials, equipment, and other incidentals necessary for the satisfactory performance of the contract.

**2. COMPETENCY OF OFFEROR**

Prospective offeror must be capable of performing the work for which offers are being called. Either before or after the deadline for an offer, the purchasing agency may require offeror to submit answers to questions regarding facilities, equipment, experience, personnel, financial status or any other factors relating to the ability of the offeror to furnish satisfactorily the goods or services being solicited by the STATE. Any such inquiries shall be made and replied to in writing; replies shall be submitted over the signatures of the person who signs the offer. Any offeror who refuses to answer such inquiries will be considered non-responsive.

The purchasing agency reserves the right to visit an offeror's place of business to inspect its facilities and equipment and to observe its methods of operation in order to facilitate evaluation of performance capabilities.



**3. OFFER INCORPORATES SOLICITATION**

The solicitation, including the AG's General Conditions, Specifications, General Provisions and any Special Provisions, and other documents referenced in or attached to the solicitation shall be considered a part of the offer whether attached to the solicitation or not at the time of its submission. Such documents shall not be altered in any way when the proposal is submitted and any alterations so made by the offeror may be cause for rejection of the offer.

**4. PREPARATION OF OFFER**

An offeror may submit only one offer in response to a solicitation. If an offeror submits more than one offer in response to a solicitation, then all such offers shall be rejected. Similarly, an offeror may submit only one offer for each line item (if any) of a solicitation. If an offeror submits more than one offer per line item, then all offers for that line item shall be rejected.

Competing subsidiary or jointly-owned companies may submit bids or proposals and these may be accepted for evaluation and award if such companies submit with their bids or proposals a certificate of non-collusion, sworn to before a notary, which acknowledges that the offer is without collusion.

Unless otherwise specified in the solicitation, all prices shall include applicable Federal, state and local taxes. Any illegible or otherwise unrecognizable price offer shall cause automatic rejection of the offer.

Offers submitted in response to an IFB or RFP shall be signed in ink in the space provided on the bid or proposal page by (1) the owner of a sole proprietorship, (2) one or more members of a partnership, (3) one or more members or officers of each firm representing a joint venture, (4) one or more officers of a corporation, or (5) an agent of the offeror duly authorized to submit offers on the offeror's behalf.

**5. LATE OFFERS, LATE WITHDRAWALS, AND LATE MODIFICATIONS**

Any notice of withdrawal, notice of modification of an offer with the actual modification, or any offer received at the place designated for receipt and opening of an offer after the time and date set for receipt and opening of offers is late. A late offer, late modification, or late withdrawal shall not be considered late if received before contract award and would have been timely but for the action or inaction of personnel within the procurement activity. A late offer or late modification that will not be considered for award shall be returned to the bidder unopened as soon as practicable and accompanied by a letter from the procurement activity stating the reason for its return. A late withdrawal request shall be responded to with a statement of the reason for non-acceptance of the withdrawal.

**6. DISQUALIFICATION OF OFFERORS**

An offeror shall be disqualified and his offer automatically rejected for any one of the following reasons: proof of collusion, in which case, all offers involved in the collusive action will be rejected and any participant to such collusion will be barred from future solicitations until reinstated; or offeror's delivery of the offer after the deadline specified in the public notice calling for offers, or as amended, except as allowed in Section 3-122-29 (1), HAR.

An offeror may be disqualified and his offer rejected for any one or more of the following

reasons: offeror's lack of responsibility and cooperation as shown by past work or services; offeror's being in arrears on existing contracts with the STATE or having defaulted on previous contracts; offeror's lack of proper equipment and/or sufficient experience to perform the work contemplated; offeror does not possess proper license to cover the type of work contemplated, if required; or offeror's failure to pay, or satisfactorily settle, all bills overdue for labor and material on former STATE contracts at the time of issuance of solicitation.

**7. IRREGULAR OFFERS**

Offers will be considered irregular and shall be rejected for the following reasons including but not limited to the following: if the offer is unsigned by the offeror, unless otherwise specified in the solicitation; if the required offer guaranty received separately from the offer is not identifiable as guaranty for a specific offer, or is received after the date and time set for the opening; if the required offer guaranty is not in accordance with the solicitation; if the offeror or surety fails to sign the surety bond submitted as offer guaranty; if offeror fails to use the surety bond form furnished by the STATE or identical wording contained in the said form when submitting a surety bond as proposal guaranty; if the offer shows any non-compliance with applicable law or contains any unauthorized additions or deletions, conditioned, incomplete, or irregular or is in anyway making the proposal incomplete, indefinite, or ambiguous as to its meaning; or unbalanced offers in which the price for any item is obviously out of proportion to the prices for other items.

**8. STANDARDS OF CONDUCT**

All offerors should be certain that their offer is not in violation of HRS §84-15. This section provides as follows:

- a. A state agency shall not enter into any contract to procure or dispose of goods or services, or for construction, with a legislator, an employee, or a business in which a legislator or an employee has a controlling interest, involving services or property of a value in excess of \$10,000 unless:
  - (1) The contract is awarded by competitive sealed bidding pursuant to section 103D-302;
  - (2) The contract is awarded by competitive sealed proposal pursuant to section 103D-303; or
  - (3) The agency posts a notice of its intent to award the contract and files a copy of the notice with the state ethics commission at least ten days before the contract is awarded.
- b. A state agency shall not enter into a contract with any person or business which is represented or assisted personally in the matter by a person who has been an employee of the agency within the preceding two years and who participated while in state office or employment in the matter with which the contract is directly concerned.

**9. CAMPAIGN CONTRIBUTIONS BY STATE AND COUNTY CONTRACTORS**

Unless otherwise specified in the solicitation, a legislative body has appropriated the funds for this contract.

Therefore, if awarded a contract in response to this solicitation, offeror agrees to comply

with Section 11-205.5, HRS, which states that campaign contributions are prohibited from a State and county government contractor during the term of the contract if the contractor is paid with funds appropriated by a legislative body.

**10. ACCEPTANCE OF OFFER**

- a. Acceptance of offer, if any, will be made within sixty calendar days after the opening of offers, and the prices quoted by the offeror shall remain firm for the sixty-day period. Unless otherwise provided, each individual item or group of items will be awarded to the responsive and responsible offeror whose offer complies with all the solicitation requirements. In determining the responsive and responsible offeror, offers will be evaluated not only on the amounts thereof, but on all factors relating to the satisfactory performance of the contract. Products or servicing capabilities must be of a quality and nature that will meet the needs and purposes of the intended use and must conform to all requirements prescribed in the specifications. The offeror must have the ability to perform as called for in the contract terms. The STATE shall be the sole judge of product or vendor capability. The successful vendor will be notified by letter that the offer has been accepted and that the vendor is being awarded the contract.
- b. If the offer is rejected or if the vendor to whom the contract was awarded fails to enter into the contract and furnish satisfactory security, if applicable, the purchasing agency may, at their discretion, award the contract to the next lowest or remaining responsible offeror or may publish another call for offers; provided in the case of only one remaining responsible offeror, the head of a purchasing agency may negotiate with such bidder to reduce the scope of work, if available funds are exceeded, and to award the contract at a price which reflects the reduction in the scope of work.
- c. The head of a purchasing agency further reserves the right to cancel the contract award at any time prior to execution of said contract by all parties, without any liability to the awardee and to any other offeror.

**11. EXECUTION OF CONTRACT**

The following subsections shall not apply to any contract in which the total amount payable to the CONTRACTOR cannot be accurately estimated at the time the contract is to be awarded:

- a. In cases where the contract award equals or exceeds the dollar level specified in Section 103D-305, HRS, the STATE shall forward a formal contract to the successful offeror for execution. The contract shall be signed by the successful vendor and returned, together with a satisfactory contract bond if required, and other supporting documents, within ten days after receipt by the vendor or within such further time as the procurement officer may allow.
- b. No such contract shall be considered binding upon the STATE until the contract has been fully and properly executed by all the parties thereto and the State Comptroller has, in accordance with Section 103D-309, HRS, endorsed thereon a certificate that there is an appropriation or balance of an appropriation over and above all outstanding contracts, sufficient to cover the amount required by the contract; with the exception of a multi-term contract, whereby, the State Comptroller shall only be required to certify that there is an appropriation or balance of an appropriation over and above all outstanding contracts, that is sufficient to cover the amount required to be paid under the contract during the fiscal year or remaining portion of the fiscal year of each term of the multi-year contract.

- c. Pursuant to the Attorney General's General Conditions (AG-008, as revised), Section 18, in any contract involving not only STATE but supplemental funds from the Federal government, this section shall be applicable only to that portion of the contract price as is payable out of STATE. As to the portion of the contract price as is expressed in the contract to be payable out of Federal funds, the contract shall be construed to be an agreement to pay the portion to the CONTRACTOR, only out of Federal funds to be received from the Federal government. This subsection shall be liberally construed so as not to hinder or impede the STATE in contracting for any project involving financial aid from the Federal government.

**12. CONTRACT BOND**

- a. The requirement for contract performance and payment bonds, if any, shall be stated in the Special Provisions of the solicitation.
- b. When required by the Special Provisions, a performance bond and a payment bond shall be delivered by the CONTRACTOR to the STATE at the same time the executed contract is delivered. Each amount of the performance and payment bonds shall not exceed fifty per cent of the amount of the contract price; provided, for contracts where contract price cannot be determined at the time of award, the amounts of the bonds shall be as stated in the solicitation. ‘
- c. The acceptable performance and payment bonds are the same as the acceptable bid or proposal security deposit specified in Section 7. If a surety bond is submitted for either the performance or payment bond, in addition to the form prescribed, a power of attorney for the surety's attorney-in-fact executing the bond shall be provided.

**13. FAILURE TO EXECUTE CONTRACT**

If the offeror to whom a contract is awarded shall fail or neglect to enter into the contract, and to furnish satisfactory security as required by Section 30 within ten days after such award or within such further time as the procurement officer may allow, the purchasing agency shall pay the amount of offeror's proposal guaranty, as required under Section 7, into the State Treasury as a realization of the STATE. The procurement officer may thereupon award the contract to the next lowest responsible offeror or may call for new offers, whichever method he may deem is in the best interest of the STATE.

**14. RETURN OF OFFER GUARANTIES**

All offer guaranties submitted as required by subchapter 24, chapter 3-122, HAR, shall be retained until the successful offeror enters into contract and furnishes satisfactory security or if the contract is not awarded or entered into, until the procurement officer's determination is made to cancel the solicitation. At such time, all offer guaranties, except surety bonds, will be returned.

**15. PAYMENT**

Section 103-10, HRS, provides that the State shall have thirty (30) calendar days after receipt of invoice or satisfactory completion of contract to make payment. For this reason, the State will reject any bid submitted with a condition requiring payment within a shorter period. Further, the State will reject any bid submitted with a condition requiring interest payments greater than that allowed by §103-10, HRS, as amended.

The State will not recognize any requirement established by the Contractor and communicated to the State after award of the contract, which requires payment within a shorter period or interest payment not in conformance with statute.

**16. DELIVERY EXTENSIONS**

In the case of contracts for the purchase of goods, the delivery date or the maximum number of days for delivery will be specified by the STATE in its solicitation requirements, and all goods must be delivered with the time specified. However, the CONTRACTOR will not be held responsible for delay due to fire, flood, riot, labor disturbances, war, shortage of transportation, act of God or other reason beyond his control, provided that he notifies the STATE of such delay and the reason therefore as soon as practicable after its occurrence and requests extension prior to the specified date of delivery. Requests for extension of time shall be accompanied by documents such as the CONTRACTOR's purchase order, manufacturer's acknowledgement, shipping manifest, and any other documents substantiating that the causes for delay were beyond the control of the CONTRACTOR. The STATE shall be the sole judge of whether such delay is truly beyond the control of the CONTRACTOR and whether extension will be granted. The STATE reserves the right to terminate the contract or to assess liquidated damages, if provided for in the contract, for delays not covered by specific authorized extension.

**17. PERSONAL LIABILITY OF PUBLIC OFFICIALS**

In carrying out any of the provisions of the contract or in exercising any power or authority granted to them by the contract, there shall be no liability upon the procurement officer or his authorized representatives, either personally or as officials of the STATE, it being understood that in such matters, they act solely as agents and representatives of the STATE.

**STATE OF HAWAI'I  
DEPARTMENT OF BUSINESS, ECONOMIC DEVELOPMENT, AND TOURISM  
OFFICE OF PLANNING**

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**SOLICITATION No. RFP 20-01-OP**

**EXHIBIT B – CONTRACT FORM AND AG GENERAL CONDITIONS**

## GENERAL CONDITIONS

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**STATE OF HAWAII**  
**CONTRACT FOR GOODS OR SERVICES**  
**BASED UPON**  
**COMPETITIVE SEALED PROPOSALS**

This Contract, executed on the respective dates indicated below, is effective as of \_\_\_\_\_, \_\_\_\_\_, between \_\_\_\_\_,  
(Insert name of state department, agency, board or commission)  
State of Hawaii ("STATE"), by its \_\_\_\_\_,  
(Insert title of person signing for State)  
(hereafter also referred to as the HEAD OF THE PURCHASING AGENCY or designee ("HOPA")), whose address is \_\_\_\_\_  
\_\_\_\_\_ and \_\_\_\_\_  
("CONTRACTOR"), a \_\_\_\_\_  
(Insert corporation, partnership, joint venture, sole proprietorship, or other legal form of the Contractor)  
under the laws of the State of \_\_\_\_\_, whose business address and federal and state taxpayer identification numbers are as follows: \_\_\_\_\_  
\_\_\_\_\_

**RECITALS**

A. The STATE desires to retain and engage the CONTRACTOR to provide the goods or services, or both, described in this Contract and its attachments, and the CONTRACTOR is agreeable to providing said goods or services or both.

B. The STATE has issued a request for competitive sealed proposals, and has received and reviewed proposals submitted in response to the request.

C. The solicitation for proposals and the selection of the CONTRACTOR were made in accordance with section 103D-303, Hawaii Revised Statutes ("HRS"), Hawaii Administrative Rules, Title 3, Department of Accounting and General Services, Subtitle 11 ("HAR"), Chapter 122, Subchapter 6, and applicable procedures established by the appropriate Chief Procurement Officer ("CPO").

D. The CONTRACTOR has been identified as the responsible and responsive offeror whose proposal is the most advantageous for the STATE, taking into consideration price and the evaluation factors set forth in the request.

E. Pursuant to \_\_\_\_\_, the STATE  
(Legal authority to enter into this Contract)  
is authorized to enter into this Contract.

F. Money is available to fund this Contract pursuant to:  
(1) \_\_\_\_\_  
(Identify state sources)  
or (2) \_\_\_\_\_  
(Identify federal sources)  
or both, in the following amounts: State \$ \_\_\_\_\_  
Federal \$ \_\_\_\_\_

NOW, THEREFORE, in consideration of the promises contained in this Contract, the STATE and the CONTRACTOR agree as follows:

1. Scope of Services. The CONTRACTOR shall, in a proper and satisfactory manner as determined by the STATE, provide all the goods or services, or both, set forth in the request for competitive sealed proposals number \_\_\_\_\_ ("RFP") and the CONTRACTOR'S accepted proposal ("Proposal"), both of which, even if not physically attached to this Contract, are made a part of this Contract.

2. Compensation. The CONTRACTOR shall be compensated for goods supplied



or services performed, or both, under this Contract in a total amount not to exceed \_\_\_\_\_ DOLLARS (\$ \_\_\_\_\_), including approved costs incurred and taxes, at the time and in the manner set forth in the RFP and CONTRACTOR'S Proposal.

3. Time of Performance. The services or goods required of the CONTRACTOR under this Contract shall be performed and completed in accordance with the Time of Performance set forth in Attachment-S3, which is made a part of this Contract.

4. Bonds. The CONTRACTOR ☐ is required to provide or ☐ is not required to provide: ☐ a performance bond, ☐ a payment bond, ☐ a performance and payment bond in the amount of \_\_\_\_\_ DOLLARS (\$ \_\_\_\_\_).

5. Standards of Conduct Declaration. The Standards of Conduct Declaration of the CONTRACTOR is attached to and made a part of this Contract.

6. Other Terms and Conditions. The General Conditions and any Special Conditions are attached to and made a part of this Contract. In the event of a conflict between the General Conditions and the Special Conditions, the Special Conditions shall control. In the event of a conflict among the documents, the order of precedence shall be as follows: (1) this Contract, including all attachments and addenda; (2) the RFP, including all attachments and addenda; and (3) the Proposal.

7. Liquidated Damages. Liquidated damages shall be assessed in the amount of \_\_\_\_\_ DOLLARS (\$ \_\_\_\_\_) per day, in accordance with the terms of paragraph 9 of the General Conditions.

8. Notices. Any written notice required to be given by a party to this Contract shall be (a) delivered personally, or (b) sent by United States first class mail, postage prepaid. Notice to the STATE shall be sent to the HOPA'S address indicated in the Contract. Notice to the CONTRACTOR shall be sent to the CONTRACTOR'S address indicated in the Contract. A notice shall be deemed to have been received three (3) days after mailing or at the time of actual receipt, whichever is earlier. The CONTRACTOR is responsible for notifying the STATE in writing of any change of address.

IN VIEW OF THE ABOVE, the parties execute this Contract by their signatures, on the dates below, to be effective as of the date first above written.

## STATE

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
(Print Title)

\_\_\_\_\_  
(Date)

## CONTRACTOR

**CORPORATE SEAL**  
(If available)

\_\_\_\_\_  
(Name of Contractor)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
(Print Title)

\_\_\_\_\_  
(Date)

**APPROVED AS TO FORM:**

\_\_\_\_\_  
Deputy Attorney General

\* Evidence of authority of the CONTRACTOR'S representative to sign this Contract for the CONTRACTOR must be attached.

## GENERAL CONDITIONS

1. Coordination of Services by the STATE. The head of the purchasing agency ("HOPA") (which term includes the designee of the HOPA) shall coordinate the services to be provided by the CONTRACTOR in order to complete the performance required in the Contract. The CONTRACTOR shall maintain communications with HOPA at all stages of the CONTRACTOR'S work, and submit to HOPA for resolution any questions which may arise as to the performance of this Contract. "Purchasing agency" as used in these General Conditions means and includes any governmental body which is authorized under chapter 103D, HRS, or its implementing rules and procedures, or by way of delegation, to enter into contracts for the procurement of goods or services or both.
2. Relationship of Parties: Independent Contractor Status and Responsibilities, Including Tax Responsibilities.
  - a. In the performance of services required under this Contract, the CONTRACTOR is an "independent contractor," with the authority and responsibility to control and direct the performance and details of the work and services required under this Contract; however, the STATE shall have a general right to inspect work in progress to determine whether, in the STATE'S opinion, the services are being performed by the CONTRACTOR in compliance with this Contract. Unless otherwise provided by special condition, it is understood that the STATE does not agree to use the CONTRACTOR exclusively, and that the CONTRACTOR is free to contract to provide services to other individuals or entities while under contract with the STATE.
  - b. The CONTRACTOR and the CONTRACTOR'S employees and agents are not by reason of this Contract, agents or employees of the State for any purpose, and the CONTRACTOR and the CONTRACTOR'S employees and agents shall not be entitled to claim or receive from the State any vacation, sick leave, retirement, workers' compensation, unemployment insurance, or other benefits provided to state employees.
  - c. The CONTRACTOR shall be responsible for the accuracy, completeness, and adequacy of the CONTRACTOR'S performance under this Contract. Furthermore, the CONTRACTOR intentionally, voluntarily, and knowingly assumes the sole and entire liability to the CONTRACTOR'S employees and agents, and to any individual not a party to this Contract, for all loss, damage, or injury caused by the CONTRACTOR, or the CONTRACTOR'S employees or agents in the course of their employment.
  - d. The CONTRACTOR shall be responsible for payment of all applicable federal, state, and county taxes and fees which may become due and owing by the CONTRACTOR by reason of this Contract, including but not limited to (i) income taxes, (ii) employment related fees, assessments, and taxes, and (iii) general excise taxes. The CONTRACTOR also is responsible for obtaining all licenses, permits, and certificates that may be required in order to perform this Contract.
  - e. The CONTRACTOR shall obtain a general excise tax license from the Department of Taxation, State of Hawaii, in accordance with section 237-9, HRS, and shall comply with all requirements thereof. The CONTRACTOR shall obtain a tax clearance certificate from the Director of Taxation, State of Hawaii, and the Internal Revenue Service, U.S. Department of the Treasury, showing that all delinquent taxes, if any, levied or accrued under state law and the Internal Revenue Code of 1986, as amended, against the CONTRACTOR have been paid and submit the same to the STATE prior to commencing any performance under this Contract. The CONTRACTOR shall also be solely responsible for meeting all requirements necessary to obtain the tax clearance certificate required for final payment under sections 103-53 and 103D-328, HRS, and paragraph 17 of these General Conditions.
  - f. The CONTRACTOR is responsible for securing all employee-related insurance coverage for the CONTRACTOR and the CONTRACTOR'S employees and agents that is or may be required by law, and for payment of all premiums, costs, and other liabilities associated with securing the insurance coverage.

- g. The CONTRACTOR shall obtain a certificate of compliance issued by the Department of Labor and Industrial Relations, State of Hawaii, in accordance with section 103D-310, HRS, and section 3-122-112, HAR, that is current within six months of the date of issuance.
- h. The CONTRACTOR shall obtain a certificate of good standing issued by the Department of Commerce and Consumer Affairs, State of Hawaii, in accordance with section 103D-310, HRS, and section 3-122-112, HAR, that is current within six months of the date of issuance.
- i. In lieu of the above certificates from the Department of Taxation, Labor and Industrial Relations, and Commerce and Consumer Affairs, the CONTRACTOR may submit proof of compliance through the State Procurement Office's designated certification process.

3. Personnel Requirements.

- a. The CONTRACTOR shall secure, at the CONTRACTOR'S own expense, all personnel required to perform this Contract.
- b. The CONTRACTOR shall ensure that the CONTRACTOR'S employees or agents are experienced and fully qualified to engage in the activities and perform the services required under this Contract, and that all applicable licensing and operating requirements imposed or required under federal, state, or county law, and all applicable accreditation and other standards of quality generally accepted in the field of the activities of such employees and agents are complied with and satisfied.

4. Nondiscrimination. No person performing work under this Contract, including any subcontractor, employee, or agent of the CONTRACTOR, shall engage in any discrimination that is prohibited by any applicable federal, state, or county law.

5. Conflicts of Interest. The CONTRACTOR represents that neither the CONTRACTOR, nor any employee or agent of the CONTRACTOR, presently has any interest, and promises that no such interest, direct or indirect, shall be acquired, that would or might conflict in any manner or degree with the CONTRACTOR'S performance under this Contract.

6. Subcontracts and Assignments. The CONTRACTOR shall not assign or subcontract any of the CONTRACTOR'S duties, obligations, or interests under this Contract and no such assignment or subcontract shall be effective unless (i) the CONTRACTOR obtains the prior written consent of the STATE, and (ii) the CONTRACTOR'S assignee or subcontractor submits to the STATE a tax clearance certificate from the Director of Taxation, State of Hawaii, and the Internal Revenue Service, U.S. Department of Treasury, showing that all delinquent taxes, if any, levied or accrued under state law and the Internal Revenue Code of 1986, as amended, against the CONTRACTOR'S assignee or subcontractor have been paid. Additionally, no assignment by the CONTRACTOR of the CONTRACTOR'S right to compensation under this Contract shall be effective unless and until the assignment is approved by the Comptroller of the State of Hawaii, as provided in section 40-58, HRS.

- a. Recognition of a successor in interest. When in the best interest of the State, a successor in interest may be recognized in an assignment contract in which the STATE, the CONTRACTOR and the assignee or transferee (hereinafter referred to as the "Assignee") agree that:

- (1) The Assignee assumes all of the CONTRACTOR'S obligations;
- (2) The CONTRACTOR remains liable for all obligations under this Contract but waives all rights under this Contract as against the STATE; and
- (3) The CONTRACTOR shall continue to furnish, and the Assignee shall also furnish, all required bonds.

- b. Change of name. When the CONTRACTOR asks to change the name in which it holds this Contract with the STATE, the procurement officer of the purchasing agency (hereinafter referred to as the "Agency procurement officer") shall, upon receipt of a document acceptable or satisfactory to the

Agency procurement officer indicating such change of name (for example, an amendment to the CONTRACTOR'S articles of incorporation), enter into an amendment to this Contract with the CONTRACTOR to effect such a change of name. The amendment to this Contract changing the CONTRACTOR'S name shall specifically indicate that no other terms and conditions of this Contract are thereby changed.

- c. Reports. All assignment contracts and amendments to this Contract effecting changes of the CONTRACTOR'S name or novations hereunder shall be reported to the chief procurement officer (CPO) as defined in section 103D-203(a), HRS, within thirty days of the date that the assignment contract or amendment becomes effective.
  - d. Actions affecting more than one purchasing agency. Notwithstanding the provisions of subparagraphs 6a through 6c herein, when the CONTRACTOR holds contracts with more than one purchasing agency of the State, the assignment contracts and the novation and change of name amendments herein authorized shall be processed only through the CPO's office.
- 7. Indemnification and Defense. The CONTRACTOR shall defend, indemnify, and hold harmless the State of Hawaii, the contracting agency, and their officers, employees, and agents from and against all liability, loss, damage, cost, and expense, including all attorneys' fees, and all claims, suits, and demands therefore, arising out of or resulting from the acts or omissions of the CONTRACTOR or the CONTRACTOR'S employees, officers, agents, or subcontractors under this Contract. The provisions of this paragraph shall remain in full force and effect notwithstanding the expiration or early termination of this Contract.
  - 8. Cost of Litigation. In case the STATE shall, without any fault on its part, be made a party to any litigation commenced by or against the CONTRACTOR in connection with this Contract, the CONTRACTOR shall pay all costs and expenses incurred by or imposed on the STATE, including attorneys' fees.
  - 9. Liquidated Damages. When the CONTRACTOR is given notice of delay or nonperformance as specified in paragraph 13 (Termination for Default) and fails to cure in the time specified, it is agreed the CONTRACTOR shall pay to the STATE the amount, if any, set forth in this Contract per calendar day from the date set for cure until either (i) the STATE reasonably obtains similar goods or services, or both, if the CONTRACTOR is terminated for default, or (ii) until the CONTRACTOR provides the goods or services, or both, if the CONTRACTOR is not terminated for default. To the extent that the CONTRACTOR'S delay or nonperformance is excused under paragraph 13d (Excuse for Nonperformance or Delay Performance), liquidated damages shall not be assessable against the CONTRACTOR. The CONTRACTOR remains liable for damages caused other than by delay.
  - 10. STATE'S Right of Offset. The STATE may offset against any monies or other obligations the STATE owes to the CONTRACTOR under this Contract, any amounts owed to the State of Hawaii by the CONTRACTOR under this Contract or any other contracts, or pursuant to any law or other obligation owed to the State of Hawaii by the CONTRACTOR, including, without limitation, the payment of any taxes or levies of any kind or nature. The STATE will notify the CONTRACTOR in writing of any offset and the nature of such offset. For purposes of this paragraph, amounts owed to the State of Hawaii shall not include debts or obligations which have been liquidated, agreed to by the CONTRACTOR, and are covered by an installment payment or other settlement plan approved by the State of Hawaii, provided, however, that the CONTRACTOR shall be entitled to such exclusion only to the extent that the CONTRACTOR is current with, and not delinquent on, any payments or obligations owed to the State of Hawaii under such payment or other settlement plan.
  - 11. Disputes. Disputes shall be resolved in accordance with section 103D-703, HRS, and chapter 3-126, Hawaii Administrative Rules ("HAR"), as the same may be amended from time to time.
  - 12. Suspension of Contract. The STATE reserves the right at any time and for any reason to suspend this Contract for any reasonable period, upon written notice to the CONTRACTOR in accordance with the provisions herein.
    - a. Order to stop performance. The Agency procurement officer may, by written order to the CONTRACTOR, at any time, and without notice to any surety, require the CONTRACTOR to stop all or any part of the performance called for by this Contract. This order shall be for a specified

period not exceeding sixty (60) days after the order is delivered to the CONTRACTOR, unless the parties agree to any further period. Any such order shall be identified specifically as a stop performance order issued pursuant to this section. Stop performance orders shall include, as appropriate: (1) A clear description of the work to be suspended; (2) Instructions as to the issuance of further orders by the CONTRACTOR for material or services; (3) Guidance as to action to be taken on subcontracts; and (4) Other instructions and suggestions to the CONTRACTOR for minimizing costs. Upon receipt of such an order, the CONTRACTOR shall forthwith comply with its terms and suspend all performance under this Contract at the time stated, provided, however, the CONTRACTOR shall take all reasonable steps to minimize the occurrence of costs allocable to the performance covered by the order during the period of performance stoppage. Before the stop performance order expires, or within any further period to which the parties shall have agreed, the Agency procurement officer shall either:

- (1) Cancel the stop performance order; or
  - (2) Terminate the performance covered by such order as provided in the termination for default provision or the termination for convenience provision of this Contract.
- b. Cancellation or expiration of the order. If a stop performance order issued under this section is cancelled at any time during the period specified in the order, or if the period of the order or any extension thereof expires, the CONTRACTOR shall have the right to resume performance. An appropriate adjustment shall be made in the delivery schedule or contract price, or both, and the Contract shall be modified in writing accordingly, if:
- (1) The stop performance order results in an increase in the time required for, or in the CONTRACTOR'S cost properly allocable to, the performance of any part of this Contract; and
  - (2) The CONTRACTOR asserts a claim for such an adjustment within thirty (30) days after the end of the period of performance stoppage; provided that, if the Agency procurement officer decides that the facts justify such action, any such claim asserted may be received and acted upon at any time prior to final payment under this Contract.
- c. Termination of stopped performance. If a stop performance order is not cancelled and the performance covered by such order is terminated for default or convenience, the reasonable costs resulting from the stop performance order shall be allowable by adjustment or otherwise.
- d. Adjustment of price. Any adjustment in contract price made pursuant to this paragraph shall be determined in accordance with the price adjustment provision of this Contract.

### 13. Termination for Default.

- a. Default. If the CONTRACTOR refuses or fails to perform any of the provisions of this Contract with such diligence as will ensure its completion within the time specified in this Contract, or any extension thereof, otherwise fails to timely satisfy the Contract provisions, or commits any other substantial breach of this Contract, the Agency procurement officer may notify the CONTRACTOR in writing of the delay or non-performance and if not cured in ten (10) days or any longer time specified in writing by the Agency procurement officer, such officer may terminate the CONTRACTOR'S right to proceed with the Contract or such part of the Contract as to which there has been delay or a failure to properly perform. In the event of termination in whole or in part, the Agency procurement officer may procure similar goods or services in a manner and upon the terms deemed appropriate by the Agency procurement officer. The CONTRACTOR shall continue performance of the Contract to the extent it is not terminated and shall be liable for excess costs incurred in procuring similar goods or services.
- b. CONTRACTOR'S duties. Notwithstanding termination of the Contract and subject to any directions from the Agency procurement officer, the CONTRACTOR shall take timely, reasonable, and

necessary action to protect and preserve property in the possession of the CONTRACTOR in which the STATE has an interest.

- c. Compensation. Payment for completed goods and services delivered and accepted by the STATE shall be at the price set forth in the Contract. Payment for the protection and preservation of property shall be in an amount agreed upon by the CONTRACTOR and the Agency procurement officer. If the parties fail to agree, the Agency procurement officer shall set an amount subject to the CONTRACTOR'S rights under chapter 3-126, HAR. The STATE may withhold from amounts due the CONTRACTOR such sums as the Agency procurement officer deems to be necessary to protect the STATE against loss because of outstanding liens or claims and to reimburse the STATE for the excess costs expected to be incurred by the STATE in procuring similar goods and services.
- d. Excuse for nonperformance or delayed performance. The CONTRACTOR shall not be in default by reason of any failure in performance of this Contract in accordance with its terms, including any failure by the CONTRACTOR to make progress in the prosecution of the performance hereunder which endangers such performance, if the CONTRACTOR has notified the Agency procurement officer within fifteen (15) days after the cause of the delay and the failure arises out of causes such as: acts of God; acts of a public enemy; acts of the State and any other governmental body in its sovereign or contractual capacity; fires; floods; epidemics; quarantine restrictions; strikes or other labor disputes; freight embargoes; or unusually severe weather. If the failure to perform is caused by the failure of a subcontractor to perform or to make progress, and if such failure arises out of causes similar to those set forth above, the CONTRACTOR shall not be deemed to be in default, unless the goods and services to be furnished by the subcontractor were reasonably obtainable from other sources in sufficient time to permit the CONTRACTOR to meet the requirements of the Contract. Upon request of the CONTRACTOR, the Agency procurement officer shall ascertain the facts and extent of such failure, and, if such officer determines that any failure to perform was occasioned by any one or more of the excusable causes, and that, but for the excusable cause, the CONTRACTOR'S progress and performance would have met the terms of the Contract, the delivery schedule shall be revised accordingly, subject to the rights of the STATE under this Contract. As used in this paragraph, the term "subcontractor" means subcontractor at any tier.
- e. Erroneous termination for default. If, after notice of termination of the CONTRACTOR'S right to proceed under this paragraph, it is determined for any reason that the CONTRACTOR was not in default under this paragraph, or that the delay was excusable under the provisions of subparagraph 13d, "Excuse for nonperformance or delayed performance," the rights and obligations of the parties shall be the same as if the notice of termination had been issued pursuant to paragraph 14.
- f. Additional rights and remedies. The rights and remedies provided in this paragraph are in addition to any other rights and remedies provided by law or under this Contract.

14. Termination for Convenience.

- a. Termination. The Agency procurement officer may, when the interests of the STATE so require, terminate this Contract in whole or in part, for the convenience of the STATE. The Agency procurement officer shall give written notice of the termination to the CONTRACTOR specifying the part of the Contract terminated and when termination becomes effective.
- b. CONTRACTOR'S obligations. The CONTRACTOR shall incur no further obligations in connection with the terminated performance and on the date(s) set in the notice of termination the CONTRACTOR will stop performance to the extent specified. The CONTRACTOR shall also terminate outstanding orders and subcontracts as they relate to the terminated performance. The CONTRACTOR shall settle the liabilities and claims arising out of the termination of subcontracts and orders connected with the terminated performance subject to the STATE'S approval. The Agency procurement officer may direct the CONTRACTOR to assign the CONTRACTOR'S right, title, and interest under terminated orders or subcontracts to the STATE. The CONTRACTOR must still complete the performance not terminated by the notice of termination and may incur obligations as necessary to do so.

- c. Right to goods and work product. The Agency procurement officer may require the CONTRACTOR to transfer title and deliver to the STATE in the manner and to the extent directed by the Agency procurement officer:

- (1) Any completed goods or work product; and
- (2) The partially completed goods and materials, parts, tools, dies, jigs, fixtures, plans, drawings, information, and contract rights (hereinafter called "manufacturing material") as the CONTRACTOR has specifically produced or specially acquired for the performance of the terminated part of this Contract.

The CONTRACTOR shall, upon direction of the Agency procurement officer, protect and preserve property in the possession of the CONTRACTOR in which the STATE has an interest. If the Agency procurement officer does not exercise this right, the CONTRACTOR shall use best efforts to sell such goods and manufacturing materials. Use of this paragraph in no way implies that the STATE has breached the Contract by exercise of the termination for convenience provision.

- d. Compensation.

- (1) The CONTRACTOR shall submit a termination claim specifying the amounts due because of the termination for convenience together with the cost or pricing data, submitted to the extent required by chapter 3-122, HAR, bearing on such claim. If the CONTRACTOR fails to file a termination claim within one year from the effective date of termination, the Agency procurement officer may pay the CONTRACTOR, if at all, an amount set in accordance with subparagraph 14d(3) below.
- (2) The Agency procurement officer and the CONTRACTOR may agree to a settlement provided the CONTRACTOR has filed a termination claim supported by cost or pricing data submitted as required and that the settlement does not exceed the total Contract price plus settlement costs reduced by payments previously made by the STATE, the proceeds of any sales of goods and manufacturing materials under subparagraph 14c, and the Contract price of the performance not terminated.
- (3) Absent complete agreement under subparagraph 14d(2) the Agency procurement officer shall pay the CONTRACTOR the following amounts, provided payments agreed to under subparagraph 14d(2) shall not duplicate payments under this subparagraph for the following:
  - (A) Contract prices for goods or services accepted under the Contract;
  - (B) Costs incurred in preparing to perform and performing the terminated portion of the performance plus a fair and reasonable profit on such portion of the performance, such profit shall not include anticipatory profit or consequential damages, less amounts paid or to be paid for accepted goods or services; provided, however, that if it appears that the CONTRACTOR would have sustained a loss if the entire Contract would have been completed, no profit shall be allowed or included and the amount of compensation shall be reduced to reflect the anticipated rate of loss;
  - (C) Costs of settling and paying claims arising out of the termination of subcontracts or orders pursuant to subparagraph 14b. These costs must not include costs paid in accordance with subparagraph 14d(3)(B);
  - (D) The reasonable settlement costs of the CONTRACTOR, including accounting, legal, clerical, and other expenses reasonably necessary for the preparation of settlement claims and supporting data with respect to the terminated portion of the Contract and for the termination of subcontracts thereunder, together with reasonable storage, transportation, and other costs incurred in connection with the protection or disposition of property allocable to the terminated portion of this Contract. The total sum to be paid the CONTRACTOR under this subparagraph shall not exceed the

total Contract price plus the reasonable settlement costs of the CONTRACTOR reduced by the amount of payments otherwise made, the proceeds of any sales of supplies and manufacturing materials under subparagraph 14d(2), and the contract price of performance not terminated.

- (4) Costs claimed, agreed to, or established under subparagraphs 14d(2) and 14d(3) shall be in accordance with Chapter 3-123 (Cost Principles) of the Procurement Rules.

15. Claims Based on the Agency Procurement Officer's Actions or Omissions.

- a. Changes in scope. If any action or omission on the part of the Agency procurement officer (which term includes the designee of such officer for purposes of this paragraph 15) requiring performance changes within the scope of the Contract constitutes the basis for a claim by the CONTRACTOR for additional compensation, damages, or an extension of time for completion, the CONTRACTOR shall continue with performance of the Contract in compliance with the directions or orders of such officials, but by so doing, the CONTRACTOR shall not be deemed to have prejudiced any claim for additional compensation, damages, or an extension of time for completion; provided:

- (1) Written notice required. The CONTRACTOR shall give written notice to the Agency procurement officer:

- (A) Prior to the commencement of the performance involved, if at that time the CONTRACTOR knows of the occurrence of such action or omission;
- (B) Within thirty (30) days after the CONTRACTOR knows of the occurrence of such action or omission, if the CONTRACTOR did not have such knowledge prior to the commencement of the performance; or
- (C) Within such further time as may be allowed by the Agency procurement officer in writing.

- (2) Notice content. This notice shall state that the CONTRACTOR regards the act or omission as a reason which may entitle the CONTRACTOR to additional compensation, damages, or an extension of time. The Agency procurement officer, upon receipt of such notice, may rescind such action, remedy such omission, or take such other steps as may be deemed advisable in the discretion of the Agency procurement officer;

- (3) Basis must be explained. The notice required by subparagraph 15a(1) describes as clearly as practicable at the time the reasons why the CONTRACTOR believes that additional compensation, damages, or an extension of time may be remedies to which the CONTRACTOR is entitled; and

- (4) Claim must be justified. The CONTRACTOR must maintain and, upon request, make available to the Agency procurement officer within a reasonable time, detailed records to the extent practicable, and other documentation and evidence satisfactory to the STATE, justifying the claimed additional costs or an extension of time in connection with such changes.

- b. CONTRACTOR not excused. Nothing herein contained, however, shall excuse the CONTRACTOR from compliance with any rules or laws precluding any state officers and CONTRACTOR from acting in collusion or bad faith in issuing or performing change orders which are clearly not within the scope of the Contract.

- c. Price adjustment. Any adjustment in the price made pursuant to this paragraph shall be determined in accordance with the price adjustment provision of this Contract.

16. Costs and Expenses. Any reimbursement due the CONTRACTOR for per diem and transportation expenses under this Contract shall be subject to chapter 3-123 (Cost Principles), HAR, and the following guidelines:



- a. Reimbursement for air transportation shall be for actual cost or coach class air fare, whichever is less.
- b. Reimbursement for ground transportation costs shall not exceed the actual cost of renting an intermediate-sized vehicle.
- c. Unless prior written approval of the HOPA is obtained, reimbursement for subsistence allowance (i.e., hotel and meals, etc.) shall not exceed the applicable daily authorized rates for inter-island or out-of-state travel that are set forth in the current Governor's Executive Order authorizing adjustments in salaries and benefits for state officers and employees in the executive branch who are excluded from collective bargaining coverage.

17. Payment Procedures; Final Payment; Tax Clearance.

- a. Original invoices required. All payments under this Contract shall be made only upon submission by the CONTRACTOR of original invoices specifying the amount due and certifying that services requested under the Contract have been performed by the CONTRACTOR according to the Contract.
- b. Subject to available funds. Such payments are subject to availability of funds and allotment by the Director of Finance in accordance with chapter 37, HRS. Further, all payments shall be made in accordance with and subject to chapter 40, HRS.
- c. Prompt payment.
  - (1) Any money, other than retainage, paid to the CONTRACTOR shall be disbursed to subcontractors within ten (10) days after receipt of the money in accordance with the terms of the subcontract; provided that the subcontractor has met all the terms and conditions of the subcontract and there are no bona fide disputes; and
  - (2) Upon final payment to the CONTRACTOR, full payment to the subcontractor, including retainage, shall be made within ten (10) days after receipt of the money; provided that there are no bona fide disputes over the subcontractor's performance under the subcontract.
- d. Final payment. Final payment under this Contract shall be subject to sections 103-53 and 103D-328, HRS, which require a tax clearance from the Director of Taxation, State of Hawaii, and the Internal Revenue Service, U.S. Department of Treasury, showing that all delinquent taxes, if any, levied or accrued under state law and the Internal Revenue Code of 1986, as amended, against the CONTRACTOR have been paid. Further, in accordance with section 3-122-112, HAR, CONTRACTOR shall provide a certificate affirming that the CONTRACTOR has remained in compliance with all applicable laws as required by this section.

18. Federal Funds. If this Contract is payable in whole or in part from federal funds, CONTRACTOR agrees that, as to the portion of the compensation under this Contract to be payable from federal funds, the CONTRACTOR shall be paid only from such funds received from the federal government, and shall not be paid from any other funds. Failure of the STATE to receive anticipated federal funds shall not be considered a breach by the STATE or an excuse for nonperformance by the CONTRACTOR.

19. Modifications of Contract.

- a. In writing. Any modification, alteration, amendment, change, or extension of any term, provision, or condition of this Contract permitted by this Contract shall be made by written amendment to this Contract, signed by the CONTRACTOR and the STATE, provided that change orders shall be made in accordance with paragraph 20 herein.
- b. No oral modification. No oral modification, alteration, amendment, change, or extension of any term, provision, or condition of this Contract shall be permitted.

- c. Agency procurement officer. By written order, at any time, and without notice to any surety, the Agency procurement officer may unilaterally order of the CONTRACTOR:
    - (A) Changes in the work within the scope of the Contract; and
    - (B) Changes in the time of performance of the Contract that do not alter the scope of the Contract work.
  - d. Adjustments of price or time for performance. If any modification increases or decreases the CONTRACTOR'S cost of, or the time required for, performance of any part of the work under this Contract, an adjustment shall be made and this Contract modified in writing accordingly. Any adjustment in contract price made pursuant to this clause shall be determined, where applicable, in accordance with the price adjustment clause of this Contract or as negotiated.
  - e. Claim barred after final payment. No claim by the CONTRACTOR for an adjustment hereunder shall be allowed if written modification of the Contract is not made prior to final payment under this Contract.
  - f. Claims not barred. In the absence of a written contract modification, nothing in this clause shall be deemed to restrict the CONTRACTOR'S right to pursue a claim under this Contract or for a breach of contract.
  - g. Head of the purchasing agency approval. If this is a professional services contract awarded pursuant to section 103D-303 or 103D-304, HRS, any modification, alteration, amendment, change, or extension of any term, provision, or condition of this Contract which increases the amount payable to the CONTRACTOR by at least \$25,000.00 and ten per cent (10%) or more of the initial contract price, must receive the prior approval of the head of the purchasing agency.
  - h. Tax clearance. The STATE may, at its discretion, require the CONTRACTOR to submit to the STATE, prior to the STATE'S approval of any modification, alteration, amendment, change, or extension of any term, provision, or condition of this Contract, a tax clearance from the Director of Taxation, State of Hawaii, and the Internal Revenue Service, U.S. Department of Treasury, showing that all delinquent taxes, if any, levied or accrued under state law and the Internal Revenue Code of 1986, as amended, against the CONTRACTOR have been paid.
  - i. Sole source contracts. Amendments to sole source contracts that would change the original scope of the Contract may only be made with the approval of the CPO. Annual renewal of a sole source contract for services should not be submitted as an amendment.
20. Change Order. The Agency procurement officer may, by a written order signed only by the STATE, at any time, and without notice to any surety, and subject to all appropriate adjustments, make changes within the general scope of this Contract in any one or more of the following:
- (1) Drawings, designs, or specifications, if the goods or services to be furnished are to be specially provided to the STATE in accordance therewith;
  - (2) Method of delivery; or
  - (3) Place of delivery.
- a. Adjustments of price or time for performance. If any change order increases or decreases the CONTRACTOR'S cost of, or the time required for, performance of any part of the work under this Contract, whether or not changed by the order, an adjustment shall be made and the Contract modified in writing accordingly. Any adjustment in the Contract price made pursuant to this provision shall be determined in accordance with the price adjustment provision of this Contract. Failure of the parties to agree to an adjustment shall not excuse the CONTRACTOR from proceeding with the Contract as changed, provided that the Agency procurement officer promptly and duly makes the provisional adjustments in payment or time for performance as may be reasonable. By

proceeding with the work, the CONTRACTOR shall not be deemed to have prejudiced any claim for additional compensation, or any extension of time for completion.

- b. Time period for claim. Within ten (10) days after receipt of a written change order under subparagraph 20a, unless the period is extended by the Agency procurement officer in writing, the CONTRACTOR shall respond with a claim for an adjustment. The requirement for a timely written response by CONTRACTOR cannot be waived and shall be a condition precedent to the assertion of a claim.
- c. Claim barred after final payment. No claim by the CONTRACTOR for an adjustment hereunder shall be allowed if a written response is not given prior to final payment under this Contract.
- d. Other claims not barred. In the absence of a change order, nothing in this paragraph 20 shall be deemed to restrict the CONTRACTOR'S right to pursue a claim under the Contract or for breach of contract.

21. Price Adjustment.

- a. Price adjustment. Any adjustment in the contract price pursuant to a provision in this Contract shall be made in one or more of the following ways:
  - (1) By agreement on a fixed price adjustment before commencement of the pertinent performance or as soon thereafter as practicable;
  - (2) By unit prices specified in the Contract or subsequently agreed upon;
  - (3) By the costs attributable to the event or situation covered by the provision, plus appropriate profit or fee, all as specified in the Contract or subsequently agreed upon;
  - (4) In such other manner as the parties may mutually agree; or
  - (5) In the absence of agreement between the parties, by a unilateral determination by the Agency procurement officer of the costs attributable to the event or situation covered by the provision, plus appropriate profit or fee, all as computed by the Agency procurement officer in accordance with generally accepted accounting principles and applicable sections of chapters 3-123 and 3-126, HAR.
- b. Submission of cost or pricing data. The CONTRACTOR shall provide cost or pricing data for any price adjustments subject to the provisions of chapter 3-122, HAR.

22. Variation in Quantity for Definite Quantity Contracts. Upon the agreement of the STATE and the CONTRACTOR, the quantity of goods or services, or both, if a definite quantity is specified in this Contract, may be increased by a maximum of ten per cent (10%); provided the unit prices will remain the same except for any price adjustments otherwise applicable; and the Agency procurement officer makes a written determination that such an increase will either be more economical than awarding another contract or that it would not be practical to award another contract.

23. Changes in Cost-Reimbursement Contract. If this Contract is a cost-reimbursement contract, the following provisions shall apply:

- a. The Agency procurement officer may at any time by written order, and without notice to the sureties, if any, make changes within the general scope of the Contract in any one or more of the following:
  - (1) Description of performance (Attachment 1);
  - (2) Time of performance (i.e., hours of the day, days of the week, etc.);
  - (3) Place of performance of services;

- (4) Drawings, designs, or specifications when the supplies to be furnished are to be specially manufactured for the STATE in accordance with the drawings, designs, or specifications;
  - (5) Method of shipment or packing of supplies; or
  - (6) Place of delivery.
- b. If any change causes an increase or decrease in the estimated cost of, or the time required for performance of, any part of the performance under this Contract, whether or not changed by the order, or otherwise affects any other terms and conditions of this Contract, the Agency procurement officer shall make an equitable adjustment in the (1) estimated cost, delivery or completion schedule, or both; (2) amount of any fixed fee; and (3) other affected terms and shall modify the Contract accordingly.
  - c. The CONTRACTOR must assert the CONTRACTOR'S rights to an adjustment under this provision within thirty (30) days from the day of receipt of the written order. However, if the Agency procurement officer decides that the facts justify it, the Agency procurement officer may receive and act upon a proposal submitted before final payment under the Contract.
  - d. Failure to agree to any adjustment shall be a dispute under paragraph 11 of this Contract. However, nothing in this provision shall excuse the CONTRACTOR from proceeding with the Contract as changed.
  - e. Notwithstanding the terms and conditions of subparagraphs 23a and 23b, the estimated cost of this Contract and, if this Contract is incrementally funded, the funds allotted for the performance of this Contract, shall not be increased or considered to be increased except by specific written modification of the Contract indicating the new contract estimated cost and, if this contract is incrementally funded, the new amount allotted to the contract.
24. Confidentiality of Material.
- a. All material given to or made available to the CONTRACTOR by virtue of this Contract, which is identified as proprietary or confidential information, will be safeguarded by the CONTRACTOR and shall not be disclosed to any individual or organization without the prior written approval of the STATE.
  - b. All information, data, or other material provided by the CONTRACTOR to the STATE shall be subject to the Uniform Information Practices Act, chapter 92F, HRS.
25. Publicity. The CONTRACTOR shall not refer to the STATE, or any office, agency, or officer thereof, or any state employee, including the HOPA, the CPO, the Agency procurement officer, or to the services or goods, or both, provided under this Contract, in any of the CONTRACTOR'S brochures, advertisements, or other publicity of the CONTRACTOR. All media contacts with the CONTRACTOR about the subject matter of this Contract shall be referred to the Agency procurement officer.
26. Ownership Rights and Copyright. The STATE shall have complete ownership of all material, both finished and unfinished, which is developed, prepared, assembled, or conceived by the CONTRACTOR pursuant to this Contract, and all such material shall be considered "works made for hire." All such material shall be delivered to the STATE upon expiration or termination of this Contract. The STATE, in its sole discretion, shall have the exclusive right to copyright any product, concept, or material developed, prepared, assembled, or conceived by the CONTRACTOR pursuant to this Contract.
27. Liens and Warranties. Goods provided under this Contract shall be provided free of all liens and provided together with all applicable warranties, or with the warranties described in the Contract documents, whichever are greater.

28. Audit of Books and Records of the CONTRACTOR. The STATE may, at reasonable times and places, audit the books and records of the CONTRACTOR, prospective contractor, subcontractor, or prospective subcontractor which are related to:
- a. The cost or pricing data, and
  - b. A state contract, including subcontracts, other than a firm fixed-price contract.
29. Cost or Pricing Data. Cost or pricing data must be submitted to the Agency procurement officer and timely certified as accurate for contracts over \$100,000 unless the contract is for a multiple-term or as otherwise specified by the Agency procurement officer. Unless otherwise required by the Agency procurement officer, cost or pricing data submission is not required for contracts awarded pursuant to competitive sealed bid procedures.
- If certified cost or pricing data are subsequently found to have been inaccurate, incomplete, or noncurrent as of the date stated in the certificate, the STATE is entitled to an adjustment of the contract price, including profit or fee, to exclude any significant sum by which the price, including profit or fee, was increased because of the defective data. It is presumed that overstated cost or pricing data increased the contract price in the amount of the defect plus related overhead and profit or fee. Therefore, unless there is a clear indication that the defective data was not used or relied upon, the price will be reduced in such amount.
30. Audit of Cost or Pricing Data. When cost or pricing principles are applicable, the STATE may require an audit of cost or pricing data.
31. Records Retention.
- (1) Upon any termination of this Contract or as otherwise required by applicable law, CONTRACTOR shall, pursuant to chapter 487R, HRS, destroy all copies (paper or electronic form) of personal information received from the STATE.
  - (2) The CONTRACTOR and any subcontractors shall maintain the files, books, and records that relate to the Contract, including any personal information created or received by the CONTRACTOR on behalf of the STATE, and any cost or pricing data, for at least three (3) years after the date of final payment under the Contract. The personal information shall continue to be confidential and shall only be disclosed as permitted or required by law. After the three (3) year, or longer retention period as required by law has ended, the files, books, and records that contain personal information shall be destroyed pursuant to chapter 487R, HRS or returned to the STATE at the request of the STATE.
32. Antitrust Claims. The STATE and the CONTRACTOR recognize that in actual economic practice, overcharges resulting from antitrust violations are in fact usually borne by the purchaser. Therefore, the CONTRACTOR hereby assigns to STATE any and all claims for overcharges as to goods and materials purchased in connection with this Contract, except as to overcharges which result from violations commencing after the price is established under this Contract and which are not passed on to the STATE under an escalation clause.
33. Patented Articles. The CONTRACTOR shall defend, indemnify, and hold harmless the STATE, and its officers, employees, and agents from and against all liability, loss, damage, cost, and expense, including all attorneys fees, and all claims, suits, and demands arising out of or resulting from any claims, demands, or actions by the patent holder for infringement or other improper or unauthorized use of any patented article, patented process, or patented appliance in connection with this Contract. The CONTRACTOR shall be solely responsible for correcting or curing to the satisfaction of the STATE any such infringement or improper or unauthorized use, including, without limitation: (a) furnishing at no cost to the STATE a substitute article, process, or appliance acceptable to the STATE, (b) paying royalties or other required payments to the patent holder, (c) obtaining proper authorizations or releases from the patent holder, and (d) furnishing such security to or making such arrangements with the patent holder as may be necessary to correct or cure any such infringement or improper or unauthorized use.

34. Governing Law. The validity of this Contract and any of its terms or provisions, as well as the rights and duties of the parties to this Contract, shall be governed by the laws of the State of Hawaii. Any action at law or in equity to enforce or interpret the provisions of this Contract shall be brought in a state court of competent jurisdiction in Honolulu, Hawaii.
35. Compliance with Laws. The CONTRACTOR shall comply with all federal, state, and county laws, ordinances, codes, rules, and regulations, as the same may be amended from time to time, that in any way affect the CONTRACTOR'S performance of this Contract.
36. Conflict Between General Conditions and Procurement Rules. In the event of a conflict between the General Conditions and the procurement rules, the procurement rules in effect on the date this Contract became effective shall control and are hereby incorporated by reference.
37. Entire Contract. This Contract sets forth all of the agreements, conditions, understandings, promises, warranties, and representations between the STATE and the CONTRACTOR relative to this Contract. This Contract supersedes all prior agreements, conditions, understandings, promises, warranties, and representations, which shall have no further force or effect. There are no agreements, conditions, understandings, promises, warranties, or representations, oral or written, express or implied, between the STATE and the CONTRACTOR other than as set forth or as referred to herein.
38. Severability. In the event that any provision of this Contract is declared invalid or unenforceable by a court, such invalidity or unenforceability shall not affect the validity or enforceability of the remaining terms of this Contract.
39. Waiver. The failure of the STATE to insist upon the strict compliance with any term, provision, or condition of this Contract shall not constitute or be deemed to constitute a waiver or relinquishment of the STATE'S right to enforce the same in accordance with this Contract. The fact that the STATE specifically refers to one provision of the procurement rules or one section of the Hawaii Revised Statutes, and does not include other provisions or statutory sections in this Contract shall not constitute a waiver or relinquishment of the STATE'S rights or the CONTRACTOR'S obligations under the procurement rules or statutes.
40. Pollution Control. If during the performance of this Contract, the CONTRACTOR encounters a "release" or a "threatened release" of a reportable quantity of a "hazardous substance," "pollutant," or "contaminant" as those terms are defined in section 128D-1, HRS, the CONTRACTOR shall immediately notify the STATE and all other appropriate state, county, or federal agencies as required by law. The Contractor shall take all necessary actions, including stopping work, to avoid causing, contributing to, or making worse a release of a hazardous substance, pollutant, or contaminant, and shall promptly obey any orders the Environmental Protection Agency or the state Department of Health issues in response to the release. In the event there is an ensuing cease-work period, and the STATE determines that this Contract requires an adjustment of the time for performance, the Contract shall be modified in writing accordingly.
41. Campaign Contributions. The CONTRACTOR is hereby notified of the applicability of 11-355, HRS, which states that campaign contributions are prohibited from specified state or county government contractors during the terms of their contracts if the contractors are paid with funds appropriated by a legislative body.
42. Confidentiality of Personal Information.
- a. Definitions.
- "Personal information" means an individual's first name or first initial and last name in combination with any one or more of the following data elements, when either name or data elements are not encrypted:
- (1) Social security number;
  - (2) Driver's license number or Hawaii identification card number; or

- (3) Account number, credit or debit card number, access code, or password that would permit access to an individual's financial information.

Personal information does not include publicly available information that is lawfully made available to the general public from federal, state, or local government records.

"Technological safeguards" means the technology and the policy and procedures for use of the technology to protect and control access to personal information.

b. Confidentiality of Material.

- (1) All material given to or made available to the CONTRACTOR by the STATE by virtue of this Contract which is identified as personal information, shall be safeguarded by the CONTRACTOR and shall not be disclosed without the prior written approval of the STATE.
- (2) CONTRACTOR agrees not to retain, use, or disclose personal information for any purpose other than as permitted or required by this Contract.
- (3) CONTRACTOR agrees to implement appropriate "technological safeguards" that are acceptable to the STATE to reduce the risk of unauthorized access to personal information.
- (4) CONTRACTOR shall report to the STATE in a prompt and complete manner any security breaches involving personal information.
- (5) CONTRACTOR agrees to mitigate, to the extent practicable, any harmful effect that is known to CONTRACTOR because of a use or disclosure of personal information by CONTRACTOR in violation of the requirements of this paragraph.
- (6) CONTRACTOR shall complete and retain a log of all disclosures made of personal information received from the STATE, or personal information created or received by CONTRACTOR on behalf of the STATE.

c. Security Awareness Training and Confidentiality Agreements.

- (1) CONTRACTOR certifies that all of its employees who will have access to the personal information have completed training on security awareness topics relating to protecting personal information.
- (2) CONTRACTOR certifies that confidentiality agreements have been signed by all of its employees who will have access to the personal information acknowledging that:
  - (A) The personal information collected, used, or maintained by the CONTRACTOR will be treated as confidential;
  - (B) Access to the personal information will be allowed only as necessary to perform the Contract; and
  - (C) Use of the personal information will be restricted to uses consistent with the services subject to this Contract.

d. Termination for Cause. In addition to any other remedies provided for by this Contract, if the STATE learns of a material breach by CONTRACTOR of this paragraph by CONTRACTOR, the STATE may at its sole discretion:

- (1) Provide an opportunity for the CONTRACTOR to cure the breach or end the violation; or
- (2) Immediately terminate this Contract.

In either instance, the CONTRACTOR and the STATE shall follow chapter 487N, HRS, with respect to notification of a security breach of personal information.

e. Records Retention.

- (1) Upon any termination of this Contract or as otherwise required by applicable law, CONTRACTOR shall, pursuant to chapter 487R, HRS, destroy all copies (paper or electronic form) of personal information received from the STATE.
- (2) The CONTRACTOR and any subcontractors shall maintain the files, books, and records that relate to the Contract, including any personal information created or received by the CONTRACTOR on behalf of the STATE, and any cost or pricing data, for at least three (3) years after the date of final payment under the Contract. The personal information shall continue to be confidential and shall only be disclosed as permitted or required by law. After the three (3) year, or longer retention period as required by law has ended, the files, books, and records that contain personal information shall be destroyed pursuant to chapter 487R, HRS or returned to the STATE at the request of the STATE.



**STATE OF HAWAI'I  
DEPARTMENT OF BUSINESS, ECONOMIC DEVELOPMENT, AND TOURISM  
OFFICE OF PLANNING**

**"DEVELOPMENT OF HIGH RESOLUTION PROBABILISTIC TSUNAMI DESIGN ZONE MAPS  
COMPATIBLE WITH ASCE 7-22 FOR THE HIGHER-RISK COASTAL AREAS OF THE ISLAND MAUI,  
STATE OF HAWAI'I"**

**SOLICITATION No. RFP 20-01-OP**

**EXHIBIT C – OVERVIEW OF THE RFP PROCESS**

## OVERVIEW OF THE RFP PROCESS

1. The RFP is issued pursuant to Subchapter 6 of Hawai'i Administrative Rules (HAR) Chapter 3-122, implementing HRS §103D-303.
2. The procurement process begins with the issuance of the RFP and the formal response to any written questions or inquiries regarding the RFP. Changes to the RFP will be made only by Addendum.
3. Proposals shall be received as described on page 1 of this RFP. The register of proposals and Offerors' proposals shall be open to public inspection after posting of the award.

All proposals and other material submitted by Offerors become the property of the State and may be returned only at the State's option.

4. The Procurement Officer, or an evaluation committee approved by the Procurement Officer, shall evaluate the proposals in accordance with the evaluation criteria in Section Four.
5. Proposals may be accepted on evaluation without discussion. However, if deemed necessary, prior to entering into discussions, a "priority list" of responsible Offerors submitting acceptable and potentially acceptable proposals shall be generated. The priority list may be limited to a minimum of three responsible Offerors who submitted the highest-ranked proposals. The objective of these discussions is to clarify issues regarding the Offeror's proposal before the BAFO is tendered.
6. If during discussions there is a need for any substantial clarification or change in the RFP, the RFP shall be amended by an addendum to incorporate such clarification or change. Addenda to the RFP shall be distributed only to priority listed Offerors who submit acceptable or potentially acceptable proposals.
7. Following any discussions, Priority Listed Offerors will be invited to submit their BAFO, if required. The Procurement Officer or an evaluation committee reserves the right to have additional rounds of discussions with the top three (3) Priority Listed Offerors prior to the submission of the BAFO.
8. The date and time for Offerors to submit their BAFO, if any, is indicated in Section 1.4, RFP Schedule and Significant Dates. If Offeror does not submit a notice of withdrawal or a BAFO, the Offeror's immediate previous offer shall be construed as its BAFO.
9. After receipt and evaluation of the BAFOs in accordance with the evaluation criteria in Section Four, the Procurement Officer or an evaluation committee will make its recommendation. The Procurement Officer will award the contract to the Offeror whose proposal is determined to be the most advantageous to the State taking into consideration price and the evaluation factors set forth in Section Four.
10. The contents of any proposal shall not be disclosed during the review, evaluation, or discussion. Once award notice is posted, all proposals, successful and unsuccessful,

become available for public inspection. Those sections that the Offeror and the State agree are confidential and/or proprietary should be identified by the Offerors and shall be excluded from access.

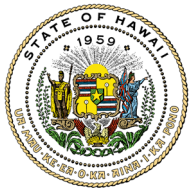
11. The Procurement Officer or an evaluation committee reserves the right to determine what is in the best interest of the State for purposes of reviewing and evaluating proposals submitted in response to the RFP. The Procurement Officer or an evaluation committee will conduct a comprehensive, fair and impartial evaluation of proposals received in response to the RFP.
12. The RFP, any addenda issued, and the successful Offeror's proposal shall become a part of the contract. All proposals shall become the property of the State of Hawai'i.

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DEPARTMENT OF BUSINESS, ECONOMIC DEVELOPMENT, AND TOURISM  
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STATE OF HAWAI'I"**

**SOLICITATION No. RFP 20-01-OP**

**EXHIBIT D – NOAA SPECIAL CONDITIONS**



## STATE OF HAWAII

# SPECIAL CONDITIONS

### National Oceanic and Atmospheric Administration (NOAA)

#### SPECIAL CONDITIONS

1. Audits and Inspections. The CONTRACTOR shall permit an authorized representative of the STATE, at all reasonable times, to inspect and make copies of all summaries, maps, charts, graphs, tables, recommendations, publication material, electronic records, and other documents produced in whole or in part under this Contract. Such material shall be delivered and surrendered to the STATE on demand and shall become the property of the STATE. The STATE, the Secretary of Commerce of the United States, the Comptroller General of the United States or any of their duly authorized representatives shall, for a period of three (3) years from the expiration of the final financial report made under the Grant, have access to any directly pertinent books, documents, paper and records of the CONTRACTOR involving transactions related to the performance of this Contract for the purposes of making audit, examination, excerpts, and transcriptions. The CONTRACTOR shall maintain all records of expenses related to this Contract by generally accepted accounting methods and principles. All required records shall be maintained for three (3) years after the STATE's submission of the "Financial Status Report" under the Grant. All subcontracts awarded by the CONTRACTOR that are payable from the Contract funds in whole or in part shall contain a provision to the same effect.
2. Nondiscrimination. The CONTRACTOR assures that the activities supported by this Contract shall be conducted in compliance with Title VI of the Civil Rights Act of 1964, Pub. L. No. 88-352, as amended, 42 U.S.C. §2000D, and the requirements imposed by the Regulations of the United States Department of Commerce, 15 CFR Part 8, issued pursuant to that Title. In accordance therewith, no person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the CONTRACTOR received Federal financial assistance and shall immediately take any measures necessary to effectuate this assurance. The CONTRACTOR shall insert a similar provision in all subcontracts for services required under this Contract.
3. Officials Not to Benefit. No member of, or delegate to, the Congress of the United States of America or resident Federal commissioner shall be admitted to any share or part of the subgrant amount or to any benefit arising out of this Contract.
4. Equipment Acquired with Contract Funds. Acquisition of equipment by the CONTRACTOR or any of its agents, whether wholly or in part with funds provided by this Contract, shall be in furtherance of and in connection with coastal zone management purposes, and shall require the prior written approval of the STATE. Title to such equipment shall be vested with the STATE and appropriate property records shall be maintained by the CONTRACTOR. "Equipment" as used in this paragraph shall be defined as goods with a life expectancy or durability of one year or more and whose value or cost per unit is ONE THOUSAND AND NO/100 DOLLARS (\$1,000.00) or more.



## STATE OF HAWAII

### SPECIAL CONDITIONS

5. Publication of Paper. If the CONTRACTOR uses National Oceanic and Atmospheric Administration financial assistance to publish a paper based in whole or in part on the work funded by this Contract, the author shall assure that the paper bears the following notation:

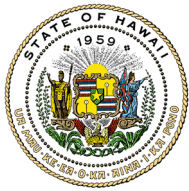
“this paper is funded (‘in part’ if appropriate) by a grant from the National Oceanic and Atmospheric Administration. The views expressed herein are those of the author(s) and do not necessarily reflect the views of NOAA or any of its sub-agencies.”

The final pre-publication manuscripts of scholarly publication produced with NOAA funding shall be submitted to the NOAA Institutional Repository at <http://repository.library.noaa.gov/> after acceptance, and no later than upon publication, of the paper by a journal. NOAA will produce a publicly-visible catalog entry directing users to the published version of the article. After an embargo period of one year after publication, NOAA shall make the manuscript itself publicly visible, free of charge, while continuing to direct users to the published version of record.

6. Government Debarment, Suspension and Other Responsibility Matters (Nonprocurement). The CONTRACTOR assures that the activities supported by this Contract shall be conducted in compliance with Executive Order 12549, Debarment and Suspension, and 15 CFR Part 26, “Government-wide Debarment and Suspension (Nonprocurement).” In accordance therewith, unless authorized by the U.S. Department of Commerce in writing, a person (as defined at 15 CFP part 26.105(n)) who is debarred or suspended shall be excluded from Federal financial and non-financial assistance and benefits under Federal programs and activities except to the extent prohibited by law or authorized in writing by the U.S. Department of Commerce.

Further, unless the U.S. Department of Commerce authorizes in writing an exception in accordance with 15 CFR Parts 26.215, and/or 26.625, the Recipient of this lower tier covered transaction shall not knowingly do business under a covered transaction with a person who is debarred or suspended, or with a person who is ineligible for or voluntarily excluded from that covered transactions (other than no-cost time extensions) with any person who is debarred, suspended, ineligible or voluntarily excluded, except as provided in 15 CFR Part 26.215.

7. Restrictions on Lobbying (applicable to awards exceeding \$100,000 in Federal funds). This Contract is subject to Section 319 of Public Law 101-121, which added Section 1352, regarding lobbying restrictions, to Chapter 13 of Title 31 of the United States Code. The new section is explained in the common rule, 15 CFR Part 28 (55 FR 6736-6748, 2/26/90). Each recipient of this subgrant and subrecipients are generally prohibited from using Federal funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with this award.
- a. The CONTRACTOR must file with the STATE Form CD-512, "Certification Regarding Lobbying Lower Tier Covered Transactions," and Standard Form-LLL, "Disclosure of Lobbying Activities," regarding the use of any non-Federal funds for lobbying. In addition, the CONTRACTOR must file a disclosure form within 15 days of the end of each calendar quarter in which there occurs any event that requires disclosure or that



## STATE OF HAWAII

### SPECIAL CONDITIONS

materially affects the accuracy of the information contained in any disclosure form previously filed by such person.

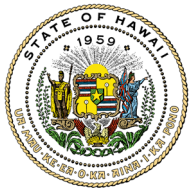
- b. The CONTRACTOR shall require each person who requests or receives from the CONTRACTOR a subgrant, contract, or subcontract exceeding \$100,000 of Federal funds at any tier under this Contract, to file Form CD-512, "Certification Regarding Lobbying Lower Tier Covered Transactions," without modification, and, if applicable, Standard Form-LLL, "Disclosure of Lobbying," regarding the use of any non-Federal funds for lobbying. Certifications shall be retained by the next higher tier. All disclosure forms, however, shall be forwarded from tier to tier until received by the STATE, who shall forward all disclosure forms to the Grants Officer.
- c. The CONTRACTOR shall include the following provision in all contracts, subcontracts, or subgrants:

"This contract, subcontract, or subgrant is subject to Section 319 of Public Law 101-121, which added Section 1352, regarding lobbying restrictions to Chapter 13 of Title 31 of the United States Code. The new section is explained in the common rule, 15 CFR Part 28 (55 FR 6736-6748, 2/26/90). Each bidder/applicant/recipient of this contract, subcontract, or subgrant, and subrecipients are generally prohibited from using Federal funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with this award."
- d. The CONTRACTOR shall include the following contract clauses regarding lobbying in each application for a subgrant and in each bid for a contract or a subcontract exceeding \$100,000 of Federal funds at any tier under the Federal award:

"Each applicant/recipient of a subgrant and each bidder/applicant/recipient of a contract or subcontract exceeding \$100,000 of Federal funds must file Form CD-512, "Certification Regarding Lobbying Lower Tier Covered Transactions," regarding the use of any non-Federal funds for lobbying. Certifications shall be retained by the next higher tier. All disclosure forms, however, shall be forwarded from tier to tier until received by the Recipient of the Federal award, who shall forward all disclosure forms to the Grants Officer."

Each subgrantee, contractor, or subcontractor that is subject to the Certification and Disclosure provision of this contract clause is required to file a disclosure form within 15 days of the end of each calendar quarter in which there occurs any event that requires disclosure or that materially affects the accuracy of the information contained in any disclosure form previously filed by such person. Disclosure forms shall be forwarded from tier to tier until received by the STATE, who shall forward all disclosure forms to the Grants Officer.

- 8. The CONTRACTOR shall be required to certify compliance with Federal regulations relating to lobbying "Certification Regarding Lobbying Lower Tier Covered Transactions."



## STATE OF HAWAII

### SPECIAL CONDITIONS

9. Collection or production of geospatial data (e.g., GIS data layer) by the CONTRACTOR or any of its agents, whether wholly or in part with funds provided by this CONTRACT, shall be in furtherance of and in connection with coastal zone management purposes, and the CONTRACTOR will comply to the maximum extent practicable with Executive Order 12906 "coordinating Geographic Data Acquisition and Access: the National Spatial Data Infrastructure" Federal Register vol. 59, Number 71, pp. 17671-17674. The CONTRACTOR shall document all new geospatial data it collects or produces using the standard developed by the Federal Geospatial Data Center (FGDC), and make that standardized documentation electronically accessible to the STATE. The standard can be found at <http://www.fgdc.gov/standards/projects/FGDC-standards-projects/metadata/base-metadata/index.html>
10. The CONTRACTOR shall provide the STATE with a letter (1) stating whether or not the CONTRACTOR expended more than \$750,000 in aggregate of federal funding in any fiscal year covered by this Contract and (2) if such funding was expended, confirming that the CONTRACTOR shall provide the STATE a copy of its single audit report, or program-specific report, as required by Title 2, Code of Federal Regulations (2 CFR), Part 200, Subpart F, within 30 days after receipt of the auditor's report.